

FIG. 1

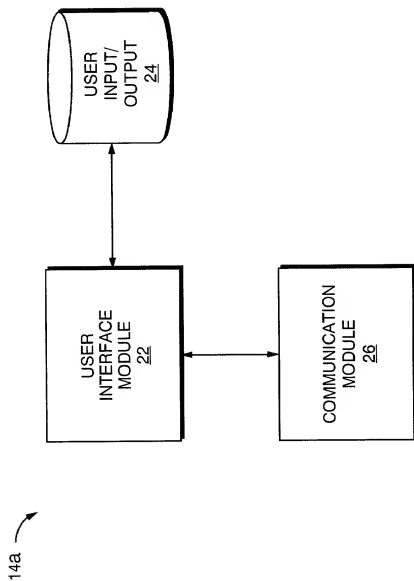


FIG. 2

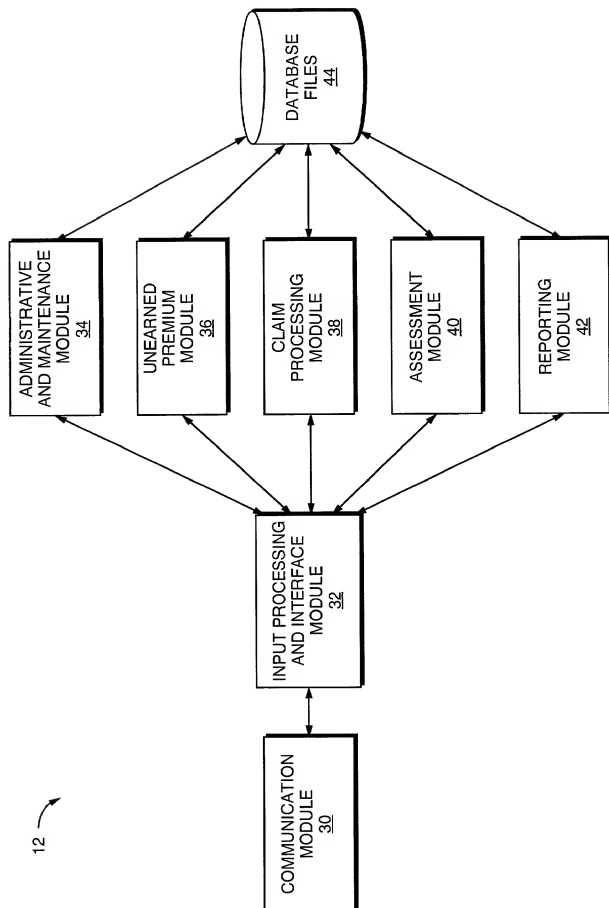


FIG. 3

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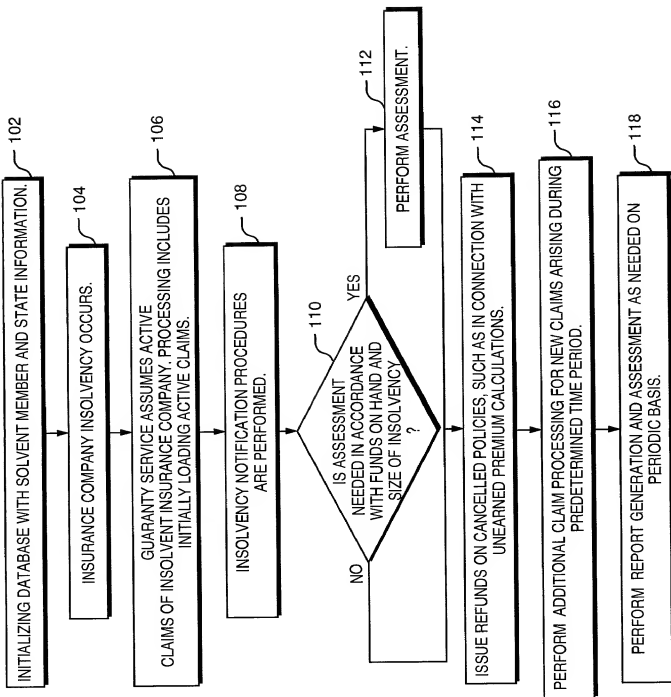
SECURITY
MODULE
34a

MEMBER AND STATE
MANAGEMENT
MODULE
34b

ACCOUNTING
SYSTEM INTERFACE
MODULE
34c

OTHER
MODULES
34d

FIG. 4



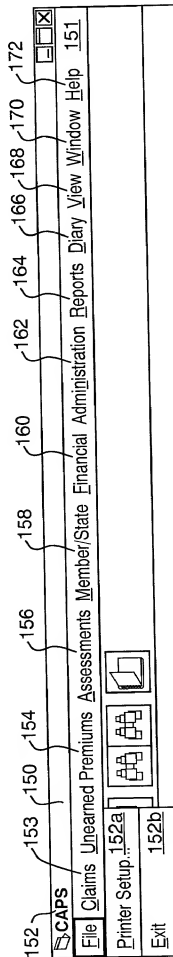


FIG. 6

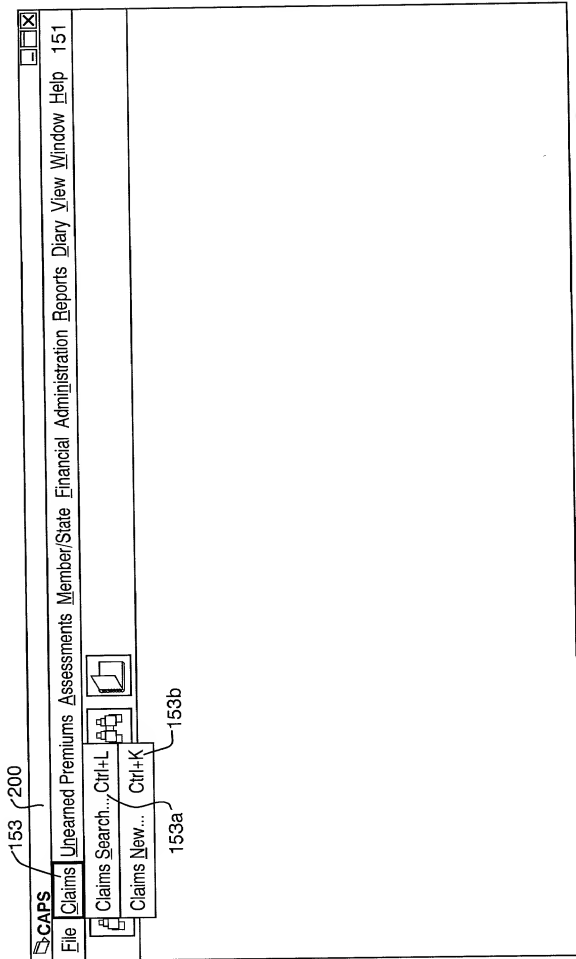


FIG. 7A

		<p>202</p>	
<p>File Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help</p>			
<div> </div>			
<p>Claim Detail</p>			
<p>State Fund: <input type="text"/></p>	<p>Claim Number: <input type="text"/></p>	<p>Type: <input type="text"/></p>	<p>Claim <input type="text"/></p>
<p>Insolvency: <input type="text"/></p>	<p>GFMS Number: <input type="text"/></p>	<p>Status: <input type="text"/></p>	<p>Open <input type="text"/></p>
<p>Policy Number: <input type="text"/></p>	<p>Date of Loss: <input type="text"/></p>	<p>Status Reason: <input type="text"/></p>	<p>12/08/2000</p>
<p>Insured</p>	<p>First Name: <input type="text"/></p>	<p>MI: <input type="text"/></p>	<p>Last Name: <input type="text"/></p>
<p>D/B/A or Company: <input type="text"/></p>	<p>Status Change Date: <input type="text"/></p>		
<p>Closed Status <input type="text"/></p>			
<p>Approved ID: <input type="text"/></p>			
<p><input type="checkbox"/> Blocked <input type="checkbox"/> Read Notes</p>			
<p>Policy <input type="text"/></p>		<p>Claim <input type="text"/></p>	
<p>Insured</p>		<p>Claimant</p>	
<p>Street 1: <input type="text"/></p>	<p>Agent: <input type="text"/></p>	<p>Inception Level: <input type="text"/></p>	<p>Termination Date: <input type="text"/></p>
<p>Street 2: <input type="text"/></p>	<p>Policy Level: <input type="text"/></p>	<p>Excess of: <input type="text"/></p>	<p>Excess of: <input type="text"/></p>
<p>Street 3: <input type="text"/></p>	<p>City: <input type="text"/></p>	<p>State: <input type="text"/></p>	<p>Zip Code: <input type="text"/></p>
<p>Telephone: <input type="text"/></p>	<p>Ext: <input type="text"/></p>	<p>Fax: <input type="text"/></p>	<p>Email: <input type="text"/></p>
<p>Policy Limits</p>	<p><input type="radio"/> Single</p>	<p><input type="radio"/> Split</p>	<p>per person</p>
<p><input type="radio"/> per occurrence</p>	<p><input type="radio"/> per person</p>	<p><input type="radio"/> per occurrence</p>	<p><input type="radio"/> per occurrence</p>

FIG. 7B

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CAPS		210		File Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help	
<div> <div> <div>Claim Detail</div> <div> <div> <div>State Fund: <input type="text"/></div> <div>Claim Number: <input type="text"/></div> <div>Type: <input type="text"/></div> </div> <div> <div>Insolvency: <input type="text"/></div> <div>GFMS Number: <input type="text"/></div> <div>Status: <input type="text"/></div> </div> <div> <div>Policy Number: <input type="text"/></div> <div>Date of Loss: <input type="text"/></div> <div>Status Reason: <input type="text"/></div> </div> <div> <div> <div>Insured</div> <div> <div>First Name: <input type="text"/></div> <div>MI: <input type="text"/></div> <div>Last Name: <input type="text"/></div> </div> <div> <div>D/B/A or Company: <input type="text"/></div> <div> <div>Status Change Date: 12/08/2000</div> <div>Closed Status: <input type="text"/></div> <div>Approved ID: <input type="text"/></div> </div> </div> <div> <input type="checkbox"/> Blocked <input type="checkbox"/> Read Notes </div> </div> </div> <div> <div> <div>Policy</div> <div> <div> <div>Date Reported: <input type="text"/></div> <div>Date Received: <input type="text"/></div> <div>Date Entered: 12/08/2000</div> </div> <div> <div>Entered By: JS2 - Stadthander, Jason</div> <div>Claim Handler: <input type="text"/></div> </div> </div> <div> <div> <div>Related Claim Number: <input type="text"/></div> <div>Liquidator's Claim Number: <input type="text"/></div> <div>Defense Attorney 1: <input type="text"/></div> <div>Defense Attorney 2: <input type="text"/></div> <div>Toxic Site: <input type="text"/></div> </div> <div> <div>Insurance Account: <input type="text"/></div> <div>Line of Insurance: <input type="text"/></div> <div>Claim Box Number: <input type="text"/></div> <div>File Location: 00 - ONE BOWDOIN SQUARE</div> </div> </div> <div> <div> <div>File Location</div> <div>Date: 12/08/2000</div> </div> </div> </div> </div> </div> </div> </div> <div data-bbox="900 1163 929 1248" data-label="Caption">FIG. 7C</div>					

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CAPS		212		File Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help																
<div> </div>																				
Claim Detail																				
State Fund: MA 206a Insolvency: 180 - TRUST INSURANCE COMPA 206d Policy Number: 206g		Claim Number: 000291331-003 206b GFMS Number: 88637 206e Date of Loss: 08/20/1998		Type: 206 Status: 206h Status Reason: Status Change Date: 08/09/2000 Closed Status Approved ID: <input type="checkbox"/> Blocked <input type="checkbox"/> Read Notes																
Insured 206i First Name: DEBORAH MI: Last Name: FLANAGAN D/B/A or Company:		Claimant 204c Policy Claimant List:																		
<table border="1"> <thead> <tr> <th>Claimant #</th> <th>Claimant Name</th> <th>Address</th> <th>Telephone</th> <th>Fax</th> <th>E-Mail</th> <th>Social Security</th> <th>Date C</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>LAPSHIN, VLAD -</td> <td>-</td> <td>() - ext. () -</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> </tr> </tbody> </table>		Claimant #	Claimant Name	Address	Telephone	Fax	E-Mail	Social Security	Date C	1	LAPSHIN, VLAD -	-	() - ext. () -	-	-	-	-	New... Modify... Delete		
Claimant #	Claimant Name	Address	Telephone	Fax	E-Mail	Social Security	Date C													
1	LAPSHIN, VLAD -	-	() - ext. () -	-	-	-	-													
Search... Totals... Notes... Diary... Payment...		Print Save Close		208																

FIG. 7D

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CAPS		214	
File Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help			
		216	
State Fund: <input type="text"/> Insolvency: <input type="text"/> Policy Number: <input type="text"/> Insured: <input type="text"/> First Name: <input type="text"/> D/B/A or Company: <input type="text"/>		Claim Number: <input type="text"/> 216a Policy Number: <input type="text"/> 216b Claimant: 216c First Name: <input type="text"/> MI: <input type="checkbox"/> Last Name: <input type="text"/> D/B/A or Company: <input type="text"/> Insured: 216d First Name: <input type="text"/> MI: <input type="checkbox"/> Last Name: <input type="text"/> D/B/A or Company: <input type="text"/>	
Insured: <input type="text"/> Street 1: <input type="text"/> Street 2: <input type="text"/> Street 3: <input type="text"/> City: <input type="text"/> Zip Code: <input type="text"/> Telephone: <input type="text"/> Fax: <input type="text"/> Email: <input type="text"/>		Date: <input type="text"/> Read Notes <input type="checkbox"/> mant <input type="text"/> Date: <input type="text"/> Date: <input type="text"/> Date: <input type="text"/>	
Policy: <input type="text"/> Street 1: <input type="text"/> Street 2: <input type="text"/> Street 3: <input type="text"/> City: <input type="text"/> Zip Code: <input type="text"/> Telephone: <input type="text"/> Fax: <input type="text"/> Email: <input type="text"/>		Lookup Code(s): 216e 1: <input type="text"/> 2: <input type="text"/> 3: <input type="text"/> Date of Loss: <input type="text"/> Related Claim Number: <input type="text"/> GFMS Number: <input type="text"/> Liquidator's Claim Number: <input type="text"/> Quick Search: 216g GFMS Number: <input type="text"/> Insolvency: <input type="text"/> State Fund: <input type="text"/> Search <input type="text"/> Close	
New <input type="button"/> Search... <input type="button"/> Totals... <input type="button"/> Notes... <input type="button"/> Diary... <input type="button"/> Payment... <input type="button"/> Delete <input type="button"/> Print <input type="button"/> Save <input type="button"/> Close <input type="button"/>			

FIG. 7E

FIG. 8A

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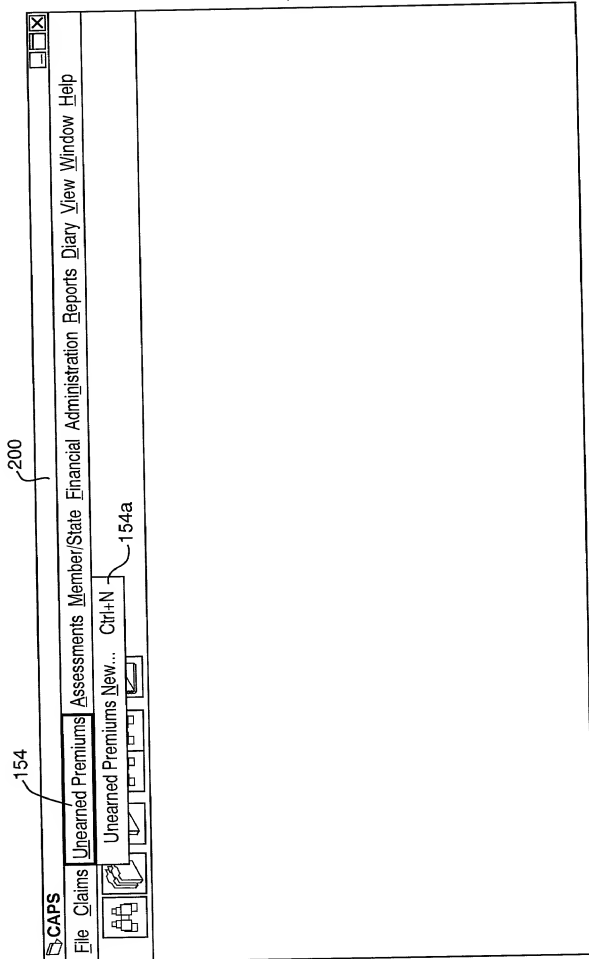


FIG. 8A

CAPS		222	
File Claims		Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help	
<div> </div>			
Unearned Premium Policy Detail			
State Fund:		224	
Insolvency:		230	
UP Policy Number:		230	
Insured		230	
Insured #1 - First Name:		MI: Last Name:	
Insured #2 - First Name:		MI: Last Name:	
D/B/A or Company:			
Policy 226a		Insured 226b	
Premium Calculation 226c		Payment History 226d	
Policy Information Inception Date: // // 228 Inception Date: // //		Termination Date: // // Auditable: No	
Agent: UP Handler:		Entered By: JS2 - Stadlander, Jason	
File Location: 100- ONE BOWDOIN SQUARE, BOSTON MA		File Location Date: 12/08/2000	
Status: Open Reason: 230a		Status Change Date: 12/08/2000	
New Search... Notes...		Diary... Delete Print Save Close 230	

CAPS		242		File Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help	
Unearned Premium Policy Detail					
State Fund: <input type="text"/>		224			
Insolvency: <input type="text"/>					
UP Policy Number: <input type="text"/>					
Insured					
Insured #1 - First Name: <input type="text"/>		Mt: <input type="text"/>		Last Name: <input type="text"/>	
Insured #2 - First Name: <input type="text"/>		Mt: <input type="text"/>		Last Name: <input type="text"/>	
D/B/A or Company: <input type="text"/>					
Policy 226a		[Insured] 226b		Premium Calculation 226c	
Insured		240		Payment History 226d	
Street 1: <input type="text"/>		Street 1: <input type="text"/>		Optional or Additional Payee	
Street 2: <input type="text"/>		Street 2: <input type="text"/>		Type: <input type="text"/>	
Street 3: <input type="text"/>		Street 3: <input type="text"/>		Name: <input type="text"/>	
City: <input type="text"/>		City: <input type="text"/>		Street 1: <input type="text"/>	
Zip Code: <input type="text"/>		State: <input type="text"/>		Street 2: <input type="text"/>	
Proof of Claim: <input type="text"/>		State: <input type="text"/>		Street 3: <input type="text"/>	
Proof of Claim #: <input type="text"/>		City: <input type="text"/>		City: <input type="text"/>	
Waived <input type="text"/>		Zip Code: <input type="text"/>		State: <input type="text"/>	
New		Search...		Delete	
Notes...		Diary...		Print	
				Save	
				Close	

FIG. 8D

FIG. 8E

CAPS		258		File Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help	
		Unearned Premium Policy Detail			
State Fund: MA - Massachusetts Insurers Insolvency Fund		224a			
Insolvency: 143 - AMERICAN MUTUAL INSURANCE OF BOSTON		224b			
UP Policy Number: C240362018		224c			
Insured: MI: Last Name: SMITKUMAR B KADAKIA		224d			
Insured #1 - First Name: MI: Last Name:					
Insured #2 - First Name: MI: Last Name:					
D/B/A or Company: SMITKUMAR B KADAKIA					
Policy		Insured		Premium Calculation 226d [Payment History]	
260a		260			
Date	Amount	Payee/Description	Check #	Status	Reason
06/14/1989	\$283.00	PAYMENT REVERSED ON 11/2	34146	REVERSED	DA1
01/24/1991	\$0.00	SMITKUMAR B KADAKIA, - SMI		RECOVERY	OTHREC
260b		262a		262b	
		Reverse...		Recovery...	
				Delete	
		262c		268a	
				Delete	
		Diary...		Delete	
		Notes...		Delete	
		Search...		Delete	
		New		Delete	
				Save	
				Close	
				208	

CAPS 270		File Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help							
<div> </div>		<div> <div>Unearned Premium Policy Detail</div> <div>Diary Detail 272</div> </div>							
State Fund:	Massachusetts Insurers Insolvency Fund								
Insolvency:	AMERICAN MUTUAL INSURANCE OF BOSTON								
UP Policy Number:	C240362018								
Insured #1 - First Name:	SMITKUMAR B KADAKIA								
Insured #2 - First Name:									
D/B/A or Company:									
<div> <div> <div>Date of Loss:</div> <div></div> </div> <div> <div>User ID:</div> <div>JS2 272a</div> </div> <div> <div>Reviewer ID:</div> <div></div> </div> <div> <div>Review Date:</div> <div>01/22/2001</div> </div> <div> <div>Number of Days:</div> <div></div> </div> </div>		<div> <div>Payment History 226d</div> <div></div> </div>							
Line of Insurance:									
Total Premium:									
Premium Paid:									
Gross Unearned Premium:									
Remaining Deductible:									
Payments Issued:									
Override Amount:									
Unearned Premium Reserve:									
<div> <div>Diary History List:</div> <table border="1"> <thead> <tr> <th>Diary Date</th> <th>Review Date</th> <th>Comments</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> </tr> </tbody> </table> </div>		Diary Date	Review Date	Comments				<div> <div>Payment Letter</div> <div>Letters Issued Letter</div> </div>	
Diary Date	Review Date	Comments							
<div> <div>Save</div> <div>Cancel</div> </div>		<div> <div>Close</div> </div>							
<div> <div>New</div> </div>									

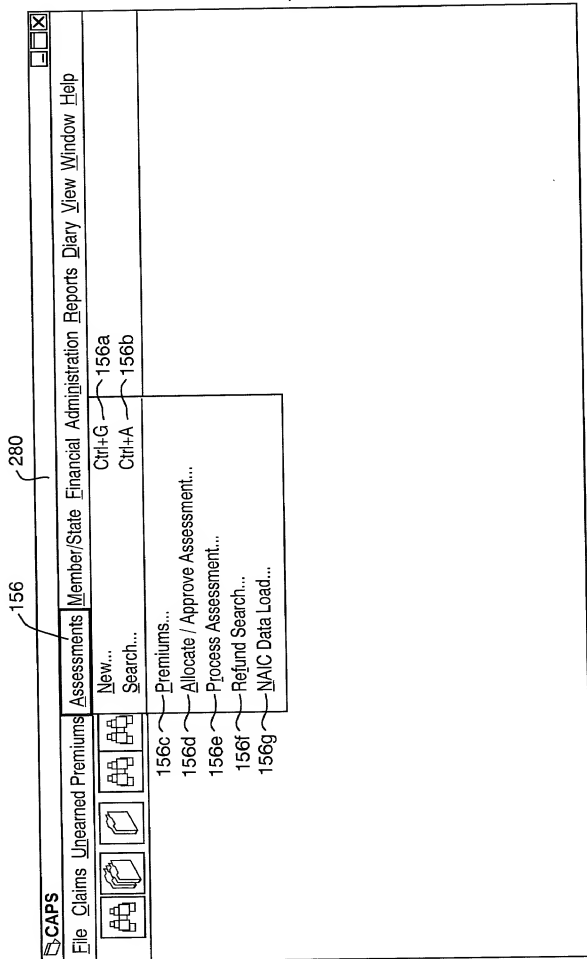


FIG. 9A

FIG. 9B

FIG. 9C



FIG. 10A

FIG. 10B

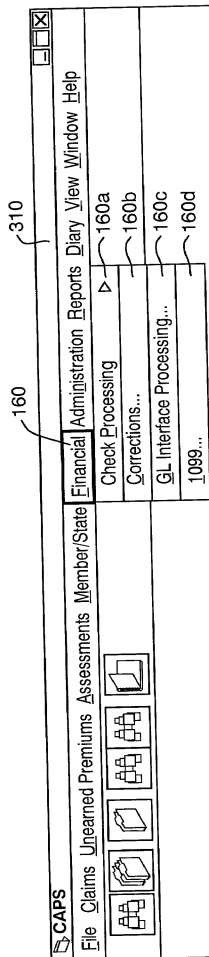


FIG. 11

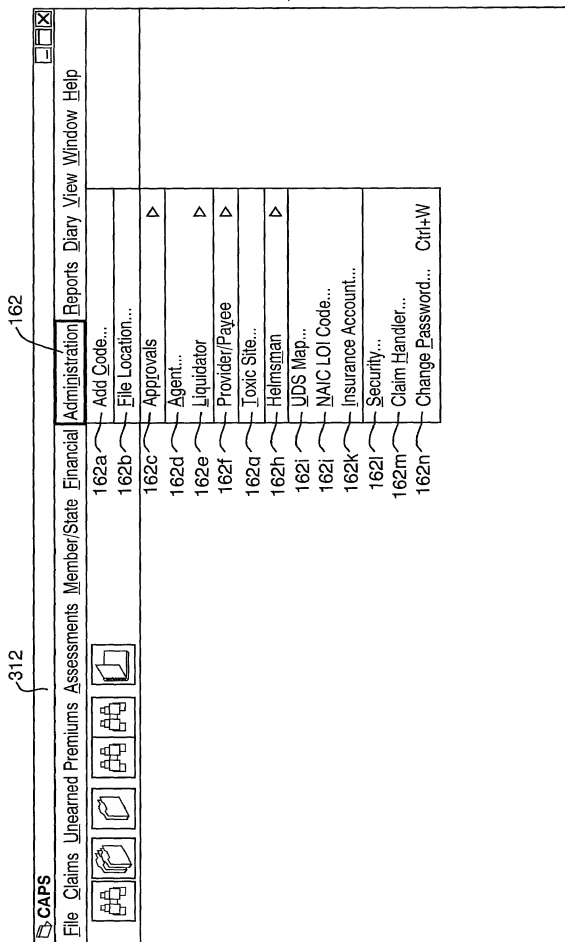


FIG. 12

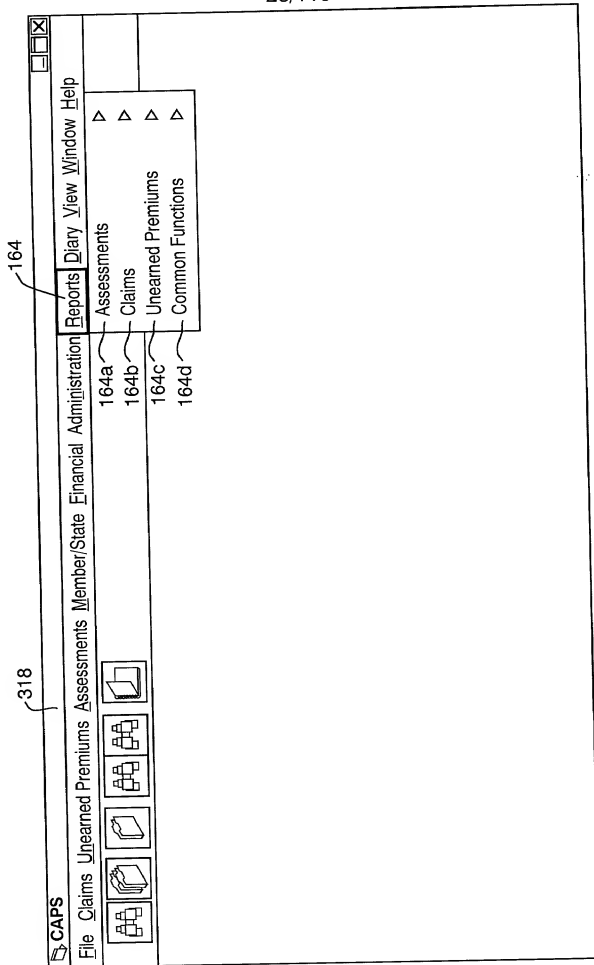
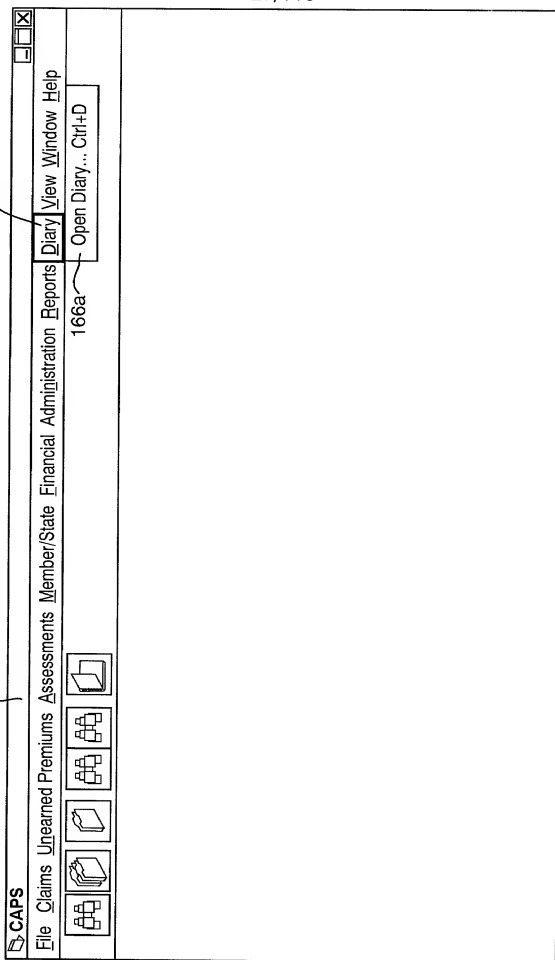


FIG. 13

FIG. 14

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FIG. 14







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 CAPS

File Claims Unearned Premiums Assessments Member/State Financial Administration Reports Diary View Window Help



Change Password

User ID: JS2

Old Password: 316a

New Password: 316b

Confirm New Password: 316c

316d

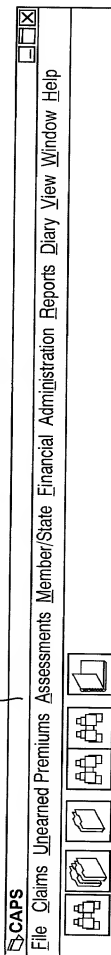
Cancel OK

FIG. 15

104277-22250660

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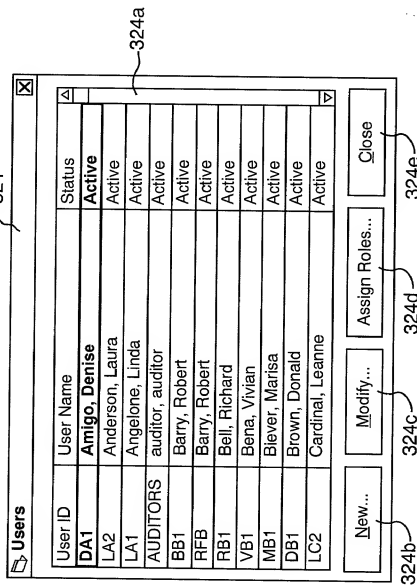


FIG. 16

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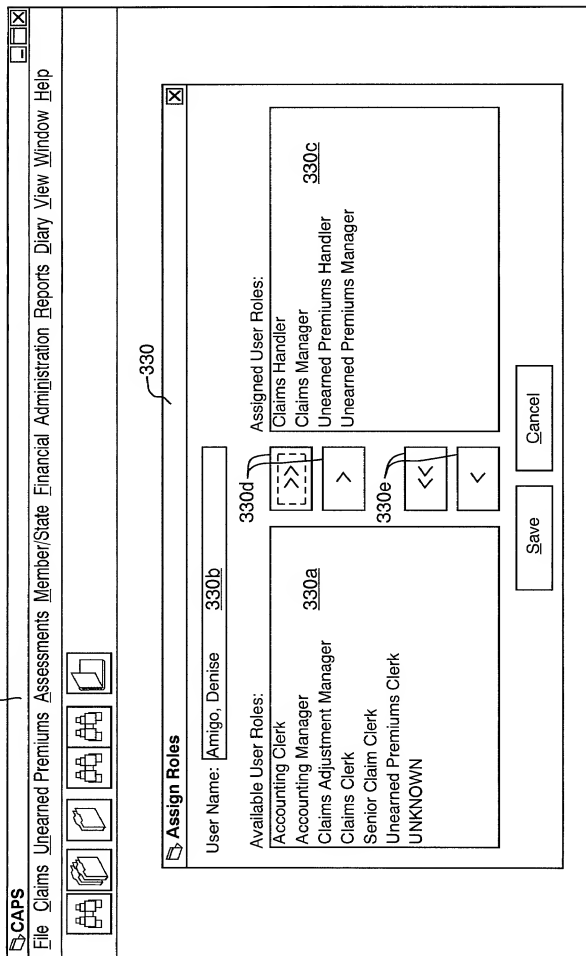
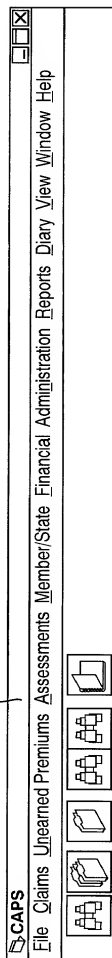


FIG. 17

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336

The "Users" dialog box contains a table of users and a "User Detail" sub-form.

User ID	User Name	Status
DA1		<input checked="" type="checkbox"/>
LA2		
LA1		
AUDITORS		
BB1		
RFB		
RB1		
VB1		
MB1		
DB1		
LC2		

The "User Detail" sub-form for user DA1 contains the following fields:

- First Name: Denise
- Last Name: Amigo
- User Login ID: DA1
- User Status: Active

Buttons: Save, Cancel, New..., Modify..., Assign Roles..., Close.

324c

FIG. 18

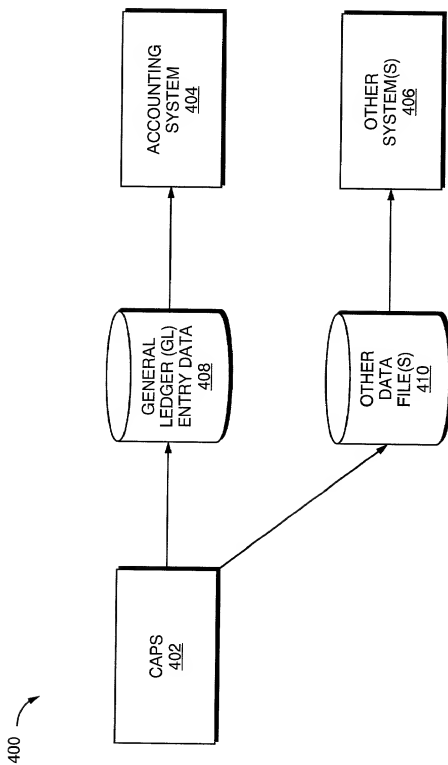


FIG. 19

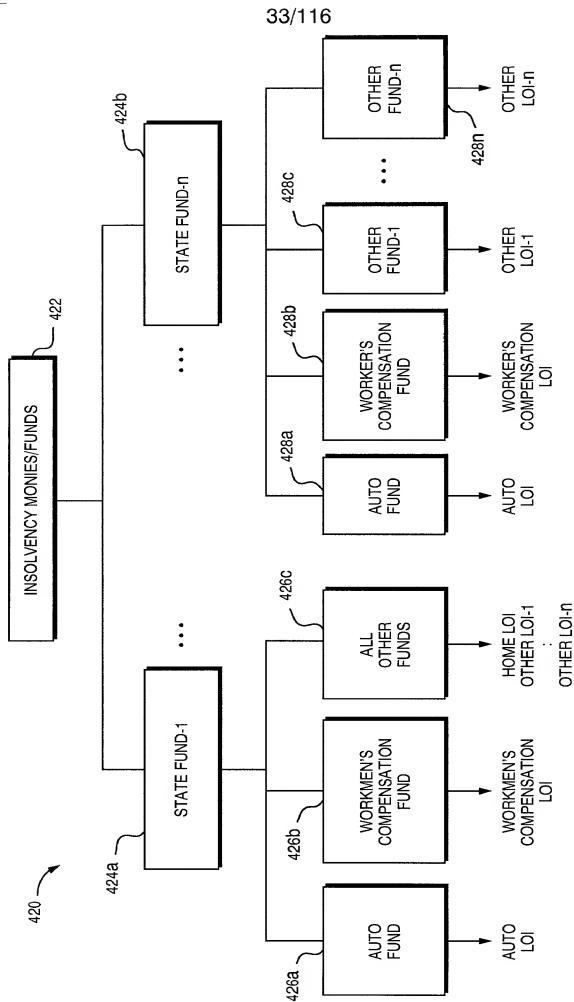


FIG. 20

500

Insolvency Mapping

Insolvency: Johnson Mutual Insurance Company

State Fund: NH

Insurance Account: Auto

Coverage List:

Yes/No	Coverage Code	Coverage Description
N	305003	Commercial Auto-Liability-Bodily Injury-Combined Single or Split Limit
N	305006	Commercial Auto-Liability-Bodily Injury-Combined Single or Split Limit-Aggregate
N	305009	Commercial Auto-Liability-Bodily Injury-Underinsured Motorist
N	305012	Commercial Auto-Liability-Bodily Injury-Underinsured Motorist
N	305015	Commercial Auto-Liability-Property Damage-Combined Single or Split Limit
N	305018	Commercial Auto-Liability-Property Damage-Combined Single or Split Limit-Aggregate
N	305021	Commercial Auto-Liability-Property Damage-Underinsured Motorist
N	305024	Commercial Auto-Liability-Property Damage-Uninsured Motorist
N	305027	Personal Injury Protection (PIP)-No Fault
N	305030	Medical Payments
N	305033	Comprehensive or Specified Perils
N	305036	Commercial

Map/Unmap Save Close

FIG. 21

102277 225066

510

Totals

State Fund: MA Claim Number: 12345678901234567890 514

Insolvency: Abington Mutual Insurance Company GFMS Number: GF000000001

Policy Number: HP02024000000000000000 Date of Loss: 04/27/1999

Claimant Coverage

Claimant: Bronson Klopfenstein 512

Coverage List:

Coverage	Loss Res.	Loss Paid	Loss Pend.	Exp. Res.	Exp. Paid	Exp. Pend.	Loss Recovery	Exp. Recovery	Offset
Benefits	\$300,000.00	\$20,000.00	\$2,000.00	\$40,000.00	\$4,000.00	\$0	\$1,000.00	\$100.00	\$500.00
Medical Expense	\$11,000.00	\$600.00	\$0	\$5,000.00	\$1,000.00	\$0	\$1,000.00	\$0	\$0
COLA	\$3,000.00	\$500.00	\$150.00	\$1,000.00	\$200.00	\$50.00	\$0	\$0	\$0
Claimant Totals	\$314,000	\$21,000	\$2,150	\$46,000	\$5,200	\$50	\$2,000	\$100	\$500
Claim Totals	\$600,000	\$60,000	\$10,000	\$90,000	\$15,000	\$3,000	\$7,000	\$500	\$1,000

Close

FIG. 22

1042211 22250660

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Totals

State Fund: MA

Claim Number: 12345678901234567890

522

Insolvency: Abington Mutual Insurance Company

GFM Number: GF00000001

Policy Number: HP02024000000000000000

Date of Loss: 04/27/1999

Coverage: Benefits 524 ▾

Claimant

Coverage

Claimant	Loss Res.	Loss Paid	Loss Pend.	Exp. Res.	Exp. Paid	Exp. Pend.	Loss Recovery	Exp. Recovery	Offset
Bronson Klopfenstein	\$300,000.00	\$20,000.00	\$2,000.00	\$40,000.00	\$4,000.00	\$0	\$2,000.00	\$150.00	\$600.00
John Smith	\$200,000.00	\$30,000.00	\$3,000.00	\$40,000.00	\$5,000.00	\$1,000.00	\$0	\$0	\$0
Coverage Totals	\$500,000	\$50,000	\$5,000	\$80,000	\$9,000	\$1,000	\$2,000	\$150	\$600
Claim Totals	\$600,000	\$60,000	\$10,000	\$90,000	\$15,000	\$3,000	\$7,000	\$500	\$1,000

Close

FIG. 23

526

530

Diary Detail		
State Fund:	RI. Ins. Insolvency Fund	
Insolvency:	United Community Insurance Co.	
Policy Number:	WC 447824	
Insured:	South Kingstown School Dept.	
Claimant:	Jason Rodner	
Claim Number:	435678	
Date of Loss:	02/13/1999	
User ID:	gwl	
Diary Date:	05/10/1999	
Comments:	New Claim	
Reviewer ID:	df1	
Review Date:	06/30/1999	
Number of Days:		
535a		
535b		
OR		
534		
Diary History List:		
Diary Date	Review Date	Comments
536		
<input type="button" value="Save"/> <input type="button" value="Cancel"/>		

FIG. 24

540

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	ACTION	DIARY TYPE	CLAIM	UNEARNED PREMIUM	GENERIC
APPROVAL	• WHEN A CLAIM PAYMENT IS DELETED	CLAIM PAYMENT APPROVAL	✓		
APPROVAL	• WHEN AN UNEARNED PREMIUM PAYMENT IS DELETED	UP PAYMENT APPROVAL		✓	
APPROVAL	• WHEN THE CLOSING OF A CLAIM IS REJECTED	CLAIM CLOSING APPROVAL	✓		
APPROVAL	• WHEN THE CLOSING OF AN UNEARNED PREMIUM IS REJECTED	UP CLOSING APPROVAL		✓	
CLAIM	• WHEN A CLAIM STATUS IS CHANGED TO "CLOSE"	CLAIM STATUS CHANGED	✓		
UNEARNED PREMIUM	• WHEN AN UNEARNED PREMIUM STATUS IS CHANGED TO "CLOSE"	UP STATUS CHANGED		✓	
LOI	• WHEN A LOI IS MODIFIED	LOI MODIFIED			✓
LOI	• WHEN A LOI IS DELETED	LOI DELETED			✓
NOTES	• WHEN A CLAIM NOTE IS SENT TO A REVIEWER	CLAIM NOTE	✓		
NOTES	• WHEN A CLAIMANT NOTE IS SENT TO A REVIEWER	CLAIMANT NOTE	✓		
NOTES	• WHEN AN UNEARNED PREMIUM NOTE IS SENT TO A REVIEWER	UP NOTE		✓	
RESERVE	• WHEN A RESERVE IS ADJUSTED FOR A CLAIMANT	CLAIM RESERVE	✓		
RESERVE	• WHEN A RESERVE IS ADJUSTED FOR A UNEARNED PREMIUM POLICY	UP RESERVE		✓	
TAXPAYER	• WHEN A NEW TAX-PAYER IS ADDED	NEW TAXPAYER			✓
TAXPAYER	• WHEN A TAXPAYER IS MODIFIED	MODIFY TAXPAYER			✓
CLAIMANT	• WHEN THE USER ENTERS OR ADJUSTS A RESERVE ABOVE A USER'S PRESET RESERVE AGGREGATE OR INCREMENT LIMIT, THEN A DIARY IS SENT TO A CLAIM MANAGER FOR APPROVAL.	CLAIMANT RESERVE ABOVE LIMIT	✓		

FIG. 25

FIG. 26A

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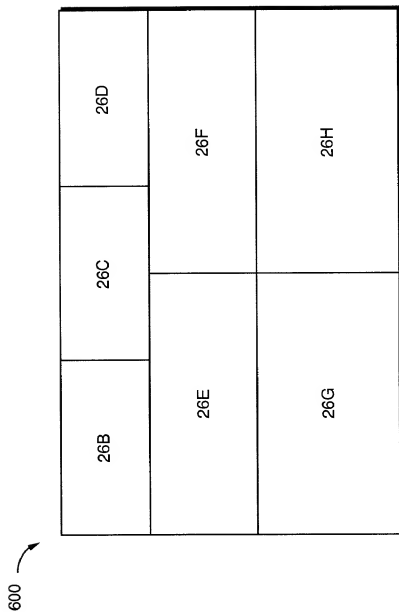


FIG. 26A

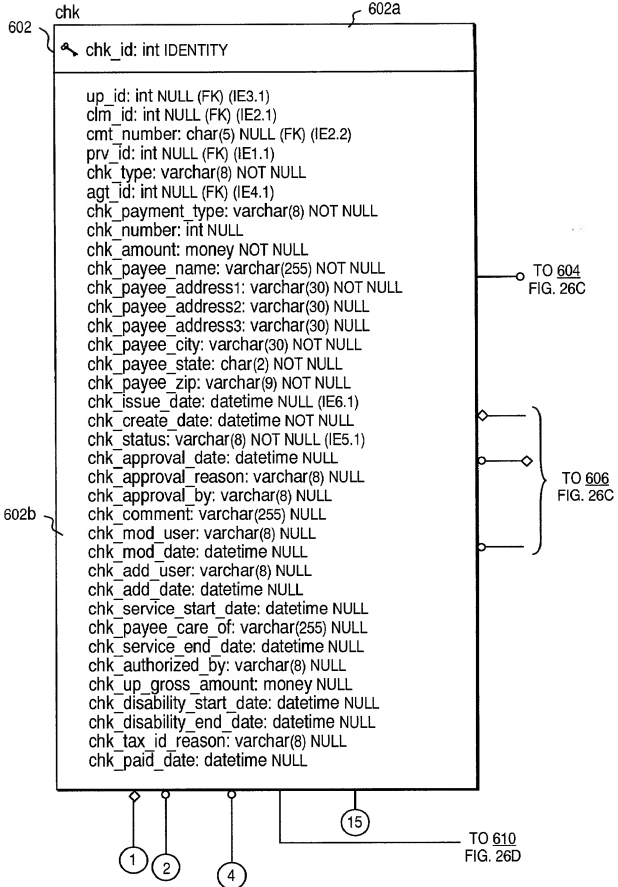


FIG. 26B

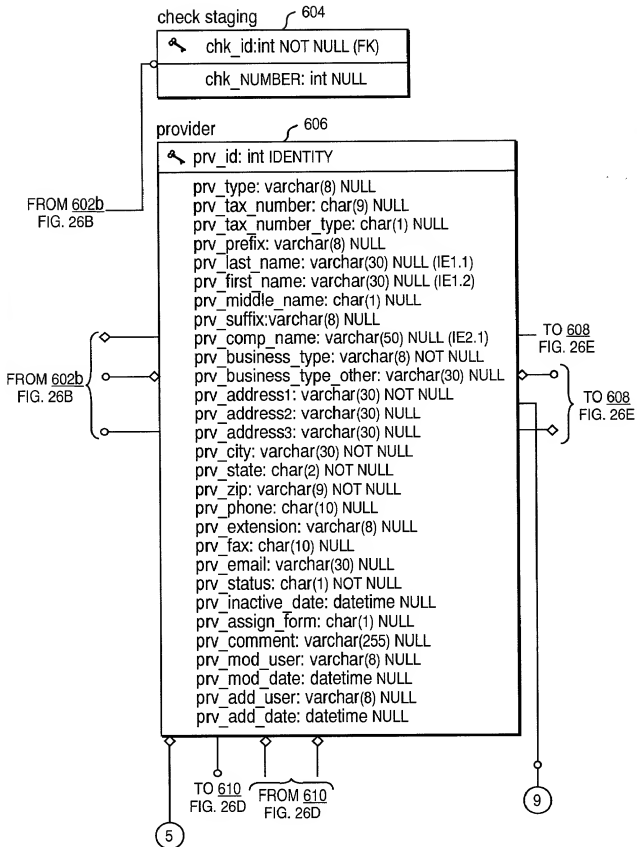


FIG. 26C

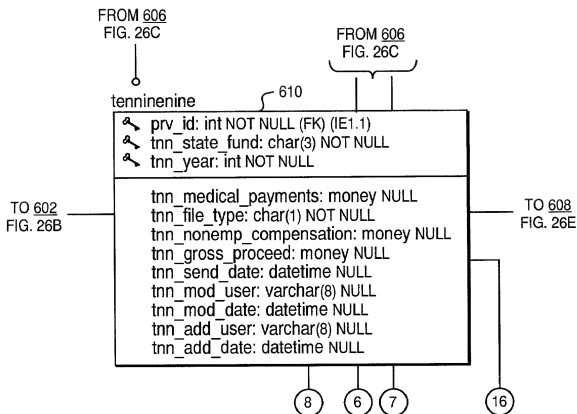


FIG. 26D

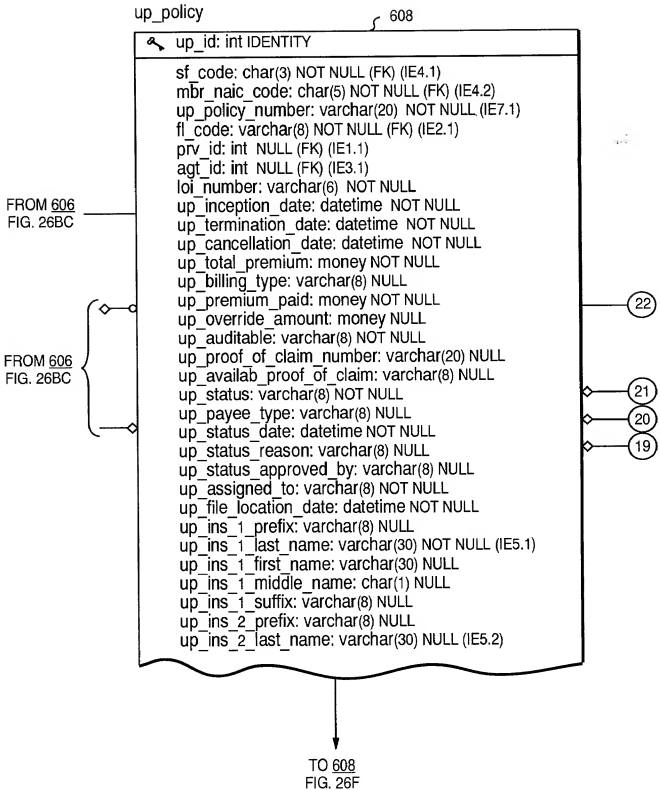


FIG. 26E

FROM 608
FIG. 26E

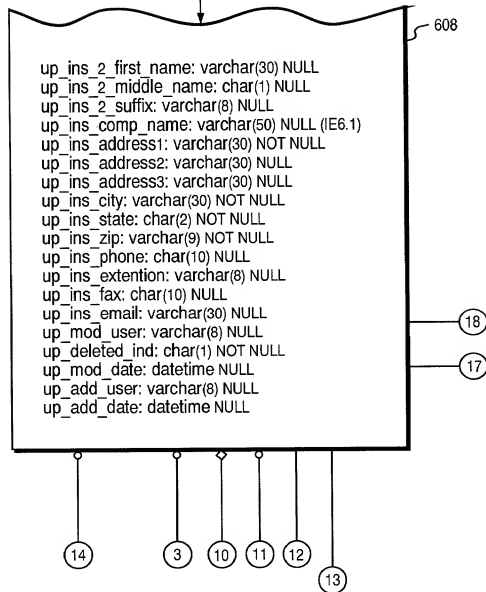


FIG. 26F

member

612

mor_naic_code: char(5) NOT NULL

mgr_naic_code: char(4) NULL (FK) (IE3.1)

statutory_liq_id: int NULL (FK) (IE1.1)

operational_liq_id: int NULL (IE2.1)

mbr_name: varchar(50) NOT NULL

mbr_domicile_state: char(2) NOT NULL

mbr_fax_number: char(9) NULL

mbr_servicing_carrier: char(1) NOT NULL

mbr_contact_name: varchar(64) NULL

mbr_address1: varchar(30) NOT NULL

mbr_address2: varchar(30) NULL

mbr_address3: varchar(30) NULL

mbr_city: varchar(30) NOT NULL

mbr_state: char(2) NOT NULL

mbr_zip: varchar(9) NOT NULL

mbr_contact_phone: char(10) NULL

mbr_contact_extension: varchar(8) NULL

mbr_contact_fax: char(10) NULL

mbr_contact_email: varchar(30) NULL

mbr_admin_contact_name: varchar(64) NULL

mbr_admin_address1: varchar(30) NULL

mbr_admin_address2: varchar(30) NULL

mbr_admin_address3: varchar(30) NULL

mbr_admin_city: varchar(30) NULL

mbr_admin_state: char(2) NULL

mbr_admin_zip: varchar(9) NULL

mbr_admin_contact_phone: char(10) NULL

mbr_admin_contact_extension: varchar(8) NULL

mbr_admin_contact_fax: char(10) NULL

mbr_admin_contact_email: varchar(30) NULL

mbr_added_date: datetime NOT NULL

mbr_status: varchar(8) NOT NULL

mbr_status_date: datetime NOT NULL

mbr_insolvable_code: char(3) NULL

mbr_insolvable_date: datetime NULL (IE4.1)

mbr_insolvable_closed_date: datetime NULL

mbr_insolvable_reactivate_date: datetime NULL

mbr_insolvable_reporting_freq: varchar(8) NULL

mbr_mod_user: varchar(8) NULL

mbr_mod_date: datetime NULL

mbr_add_user: varchar(8) NULL

mbr_add_date: datetime NULL

TO 614
FIG 26HTO 616
FIG 26ITO 622
FIG 26ITO 624
FIG 26JTO 626
FIG 26HTO 626
FIG 26HTO 626
FIG 26HTO 626
FIG 26H

22

21

20

19

23

24

25

26

34

37

FIG. 26G

member group

614

mgr_naic_code: char(4) NOT NULL
 mgr_comp_name: varchar(50) NOT NULL
 mgr_comp_address1: varchar(30) NOT NULL
 mgr_comp_address2: varchar(30) NOT NULL
 mgr_comp_address3: varchar(30) NOT NULL
 mgr_comp_city: varchar(30) NOT NULL
 mgr_comp_state: char(2) NOT NULL
 mgr_comp_zip: varchar(9) NOT NULL
 mgr_cntc_name: varchar(64) NOT NULL
 mgr_cntc_phone: char(10) NULL
 mgr_cntc_extension: varchar(8) NULL
 mgr_cntc_fax: char(10) NULL
 mgr_cntc_email: varchar(30) NULL
 mgr_mod_user: varchar(8) NULL
 mgr_mod_date: datetime NULL
 mgr_add_user: varchar(8) NULL
 mgr_add_date: datetime NULL

FROM 612
FIG. 26G

split

626

mbr_naic_code: char(5) NOT NULL (FK)
 spl_year: int NOT NULL
 spl_mem_name: varchar(50) NOT NULL
 spl_mem_address1: varchar(30) NOT NULL
 spl_mem_address2: varchar(30) NULL
 spl_mem_address3: varchar(30) NULL
 spl_mem_city: varchar(30) NOT NULL
 spl_mem_state: char(2) NOT NULL
 spl_mem_zip: varchar(9) NOT NULL
 spl_mem_cntc_name: varchar(64) NULL
 spl_mem_cntc_phone: char(10) NULL
 spl_mem_cntc_extension: varchar(8) NULL
 spl_mem_cntc_fax: char(10) NULL
 spl_mem_cntc_email: varchar(30) NULL
 spl_mod_user: varchar(8) NULL
 spl_mod_date: datetime NULL
 spl_add_user: varchar(8) NULL
 spl_add_date: datetime NULL

FROM 612
FIG. 26G

FROM 612
FIG. 26G

TO 628
FIG. 26I

28

29

FIG. 26H

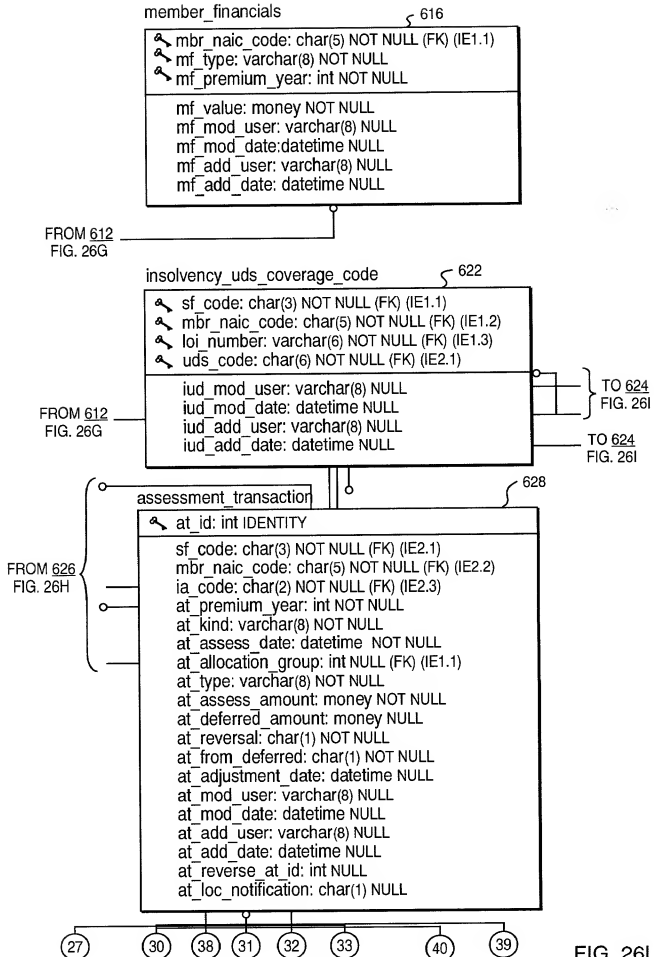


FIG. 26I

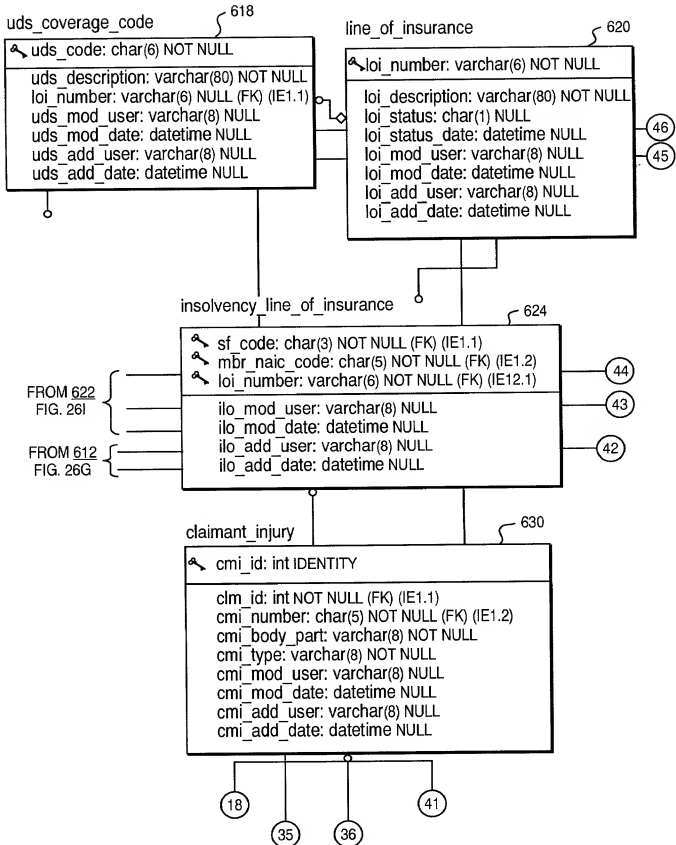


FIG. 26J

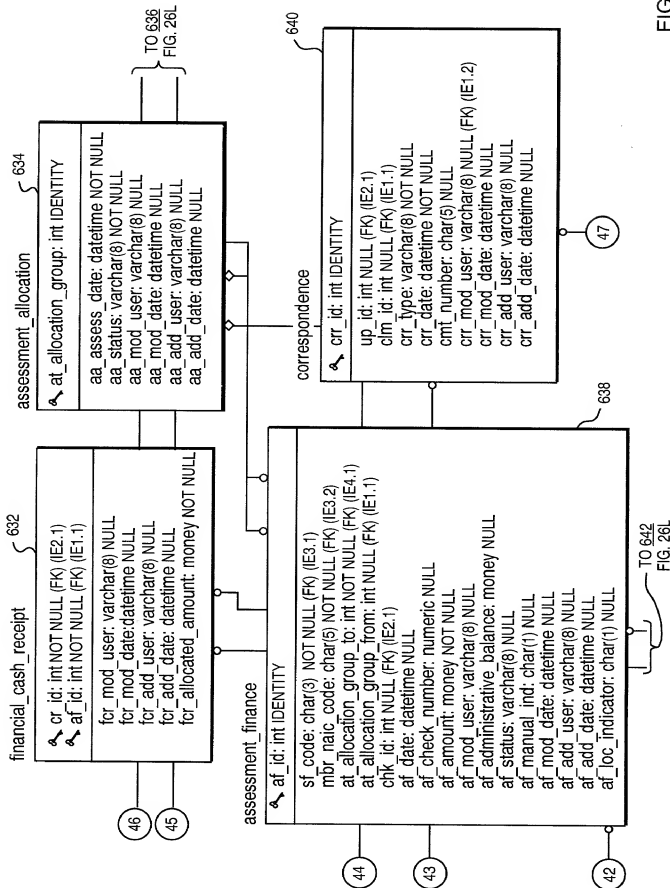
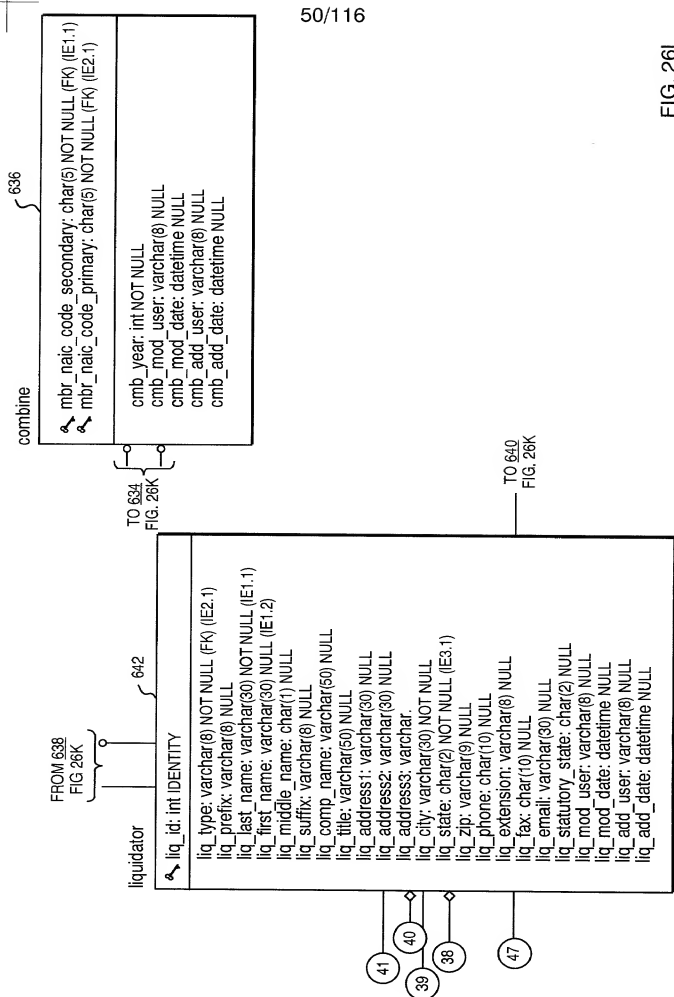


FIG. 26K



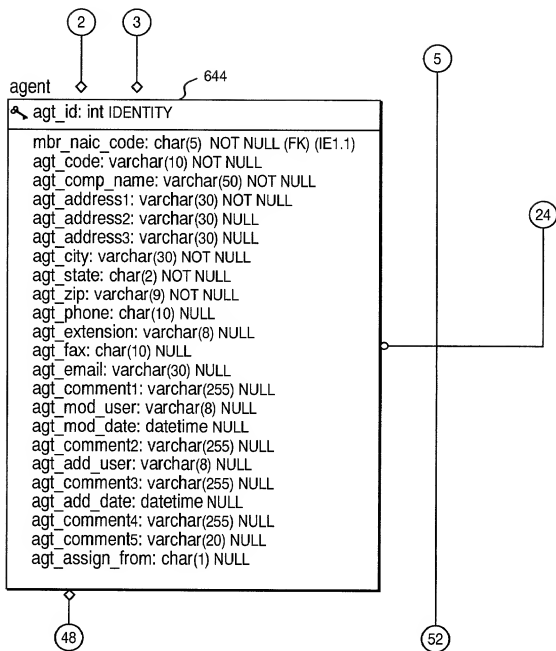


FIG. 26M



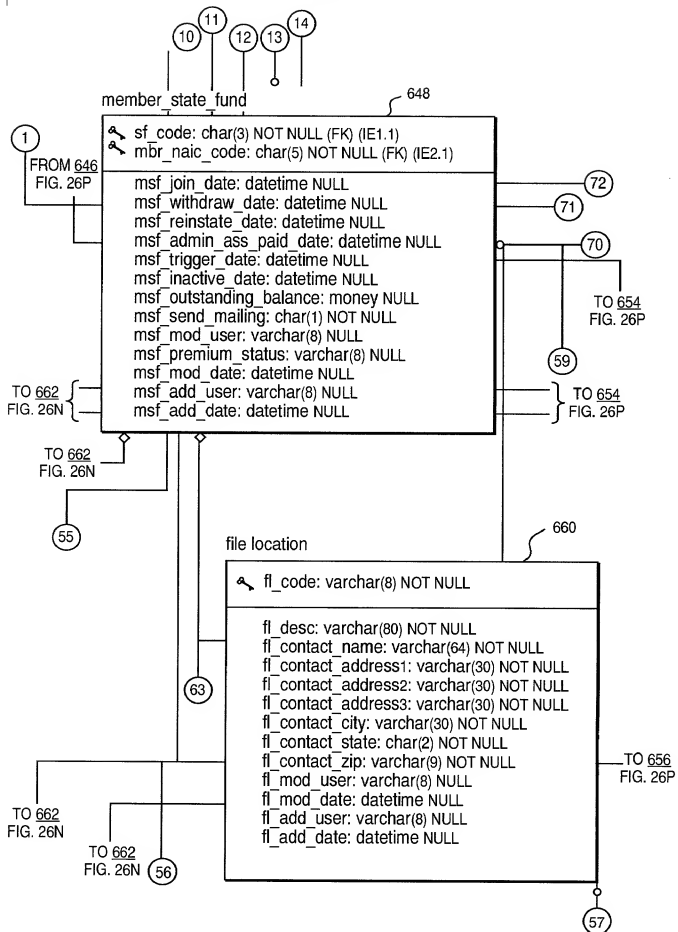


FIG. 260

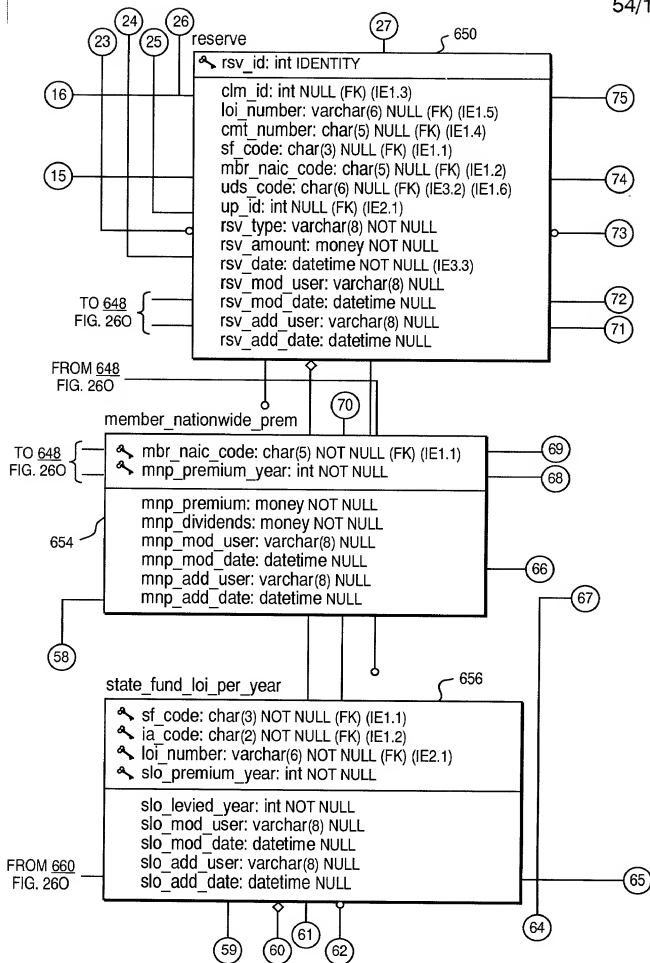


FIG. 26P

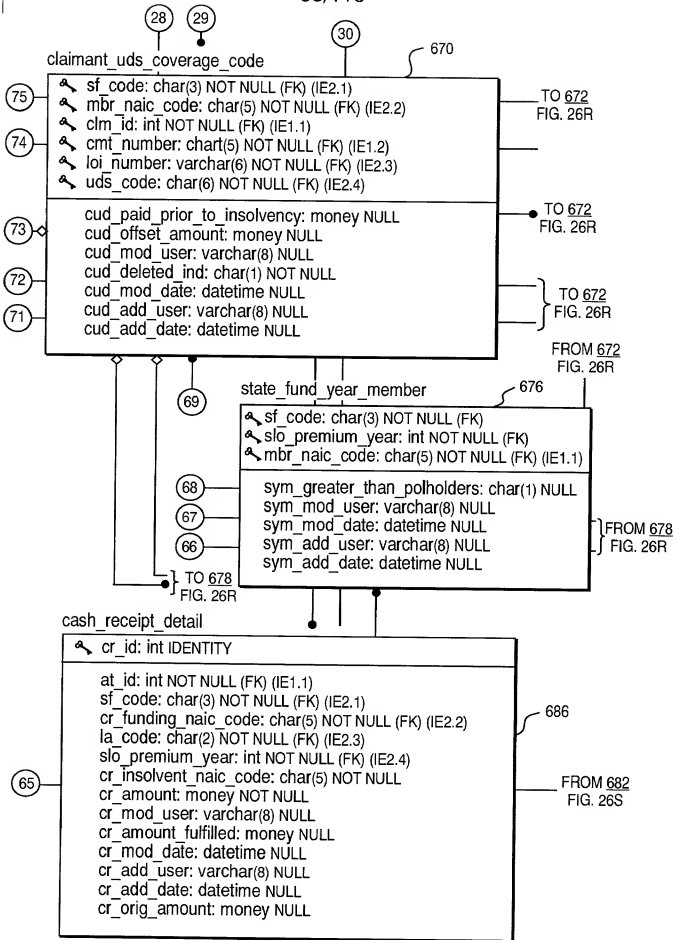


FIG. 26Q

TO 692, FIG. 26T

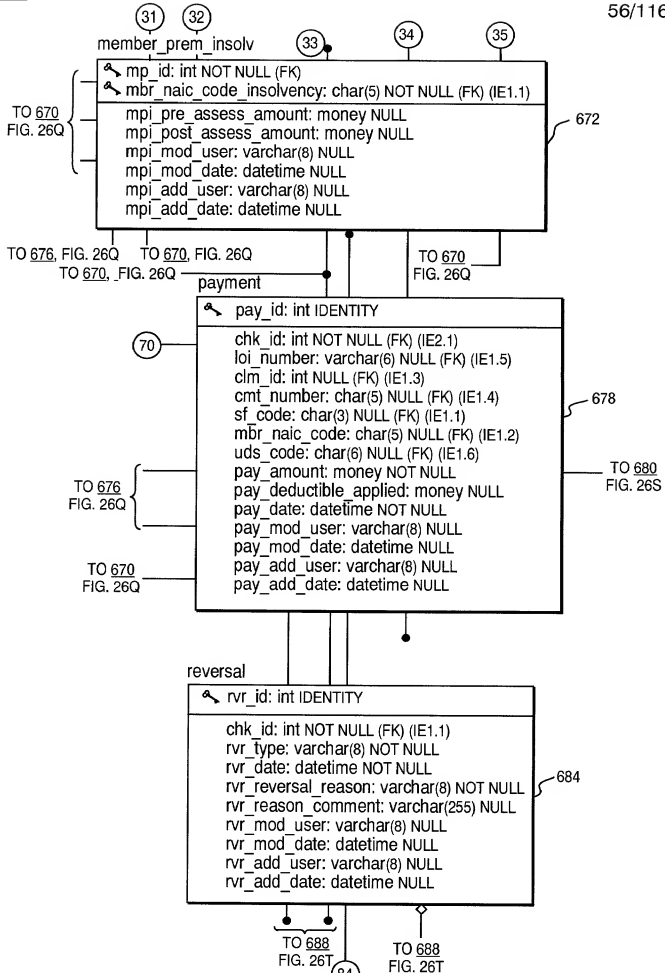


FIG. 26R

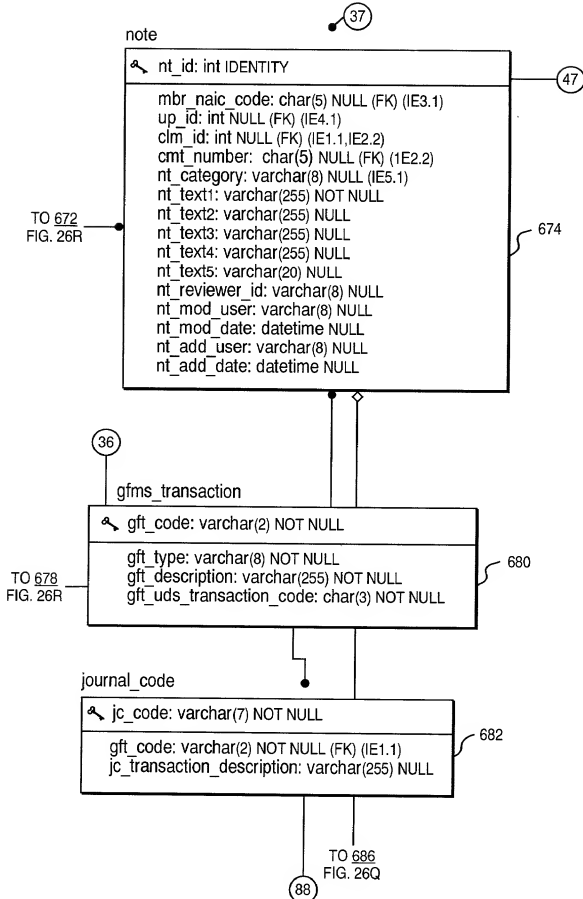


FIG. 26S

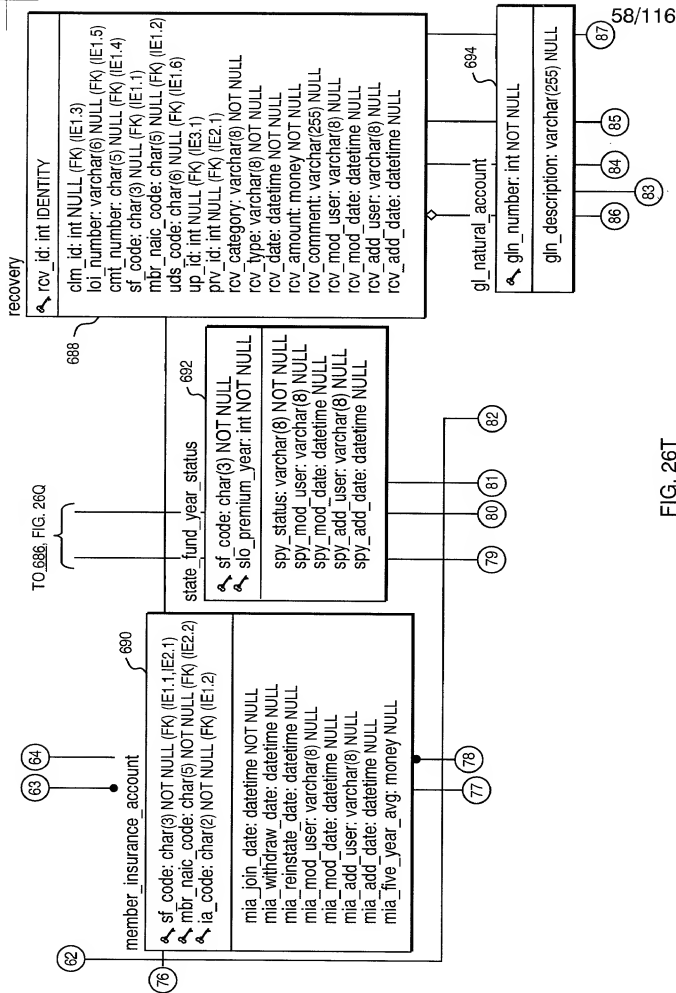


FIG. 26T



FROM 700
FIG. 26U

clm_ins_zip: varchar(9) NULL
clm_ins_phone: char(10) NULL
clm_ins_extension: varchar(8) NULL
clm_ins_fax: char(10) NULL
clm_ins_email: varchar(30) NULL
clm_status: varchar(8) NULL
clm_status_date: datetime NULL
clm_status_reason: varchar(8) NULL
clm_status_approved_by: varchar(8) NULL
clm_lookup_code_1: varchar(8) NULL
clm_lookup_code_2: varchar(8) NULL
clm_lookup_code_3: varchar(8) NULL
clm_lookup_code_4: varchar(8) NULL
clm_lookup_code_5: varchar(8) NULL
clm_read_note: char(1) NULL
clm_lien_indicator: char(1) NULL
clm_file_location_date: datetime NULL
clm_box_number: varchar(20) NULL
clm_blocked: char(1) NULL
clm_mod_user: varchar(8) NULL
clm_source: varchar(8) NULL
clm_mod_date: datetime NULL
clm_comp_name: varchar(50) NULL
clm_contact_last_name: varchar(30) NULL
clm_deleted_ind: char(1) NOT NULL
clm_add_user: varchar(8) NULL
clm_add_date: datetime NULL
cbn_contact_first_name: varchar(30) NULL

FIG. 26V

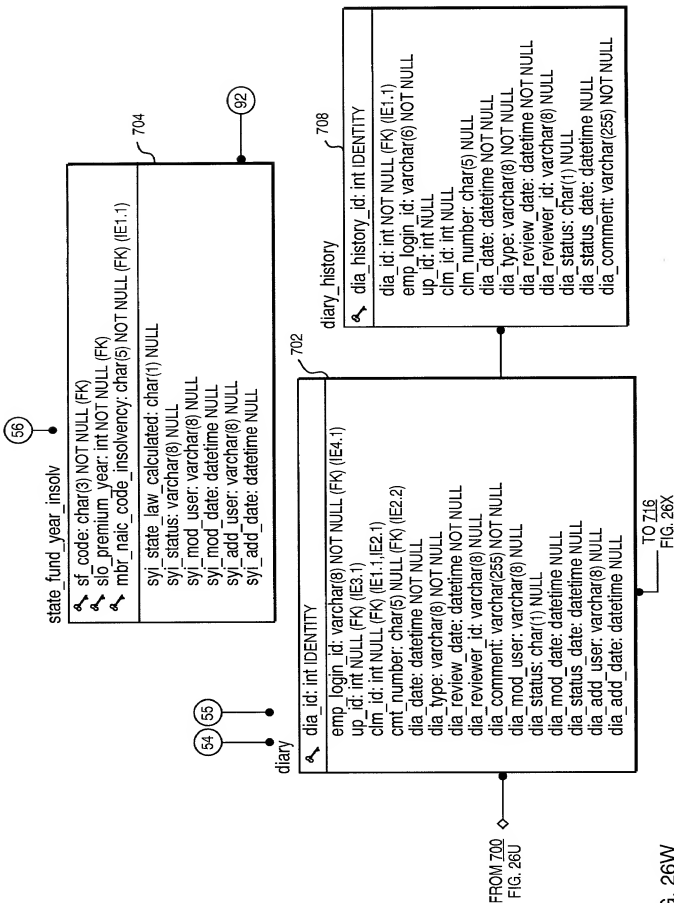


FIG. 26W

FROM 702
FIG. 26W

toxic site

718
<p>txs_id: int IDENTITY</p> <p>txs_type: varchar(8) NOT NULL</p> <p>txs_number: varchar(15) NULL</p> <p>txs_name: varchar(60) NOT NULL</p> <p>txs_address1: varchar(30) NULL</p> <p>txs_address2: varchar(30) NULL</p> <p>txs_address3: varchar(30) NULL</p> <p>txs_city: varchar(30) NULL</p> <p>txs_state: char(2) NOT NULL</p> <p>txs_zip: varchar(9) NULL</p> <p>txs_comment: varchar(255) NULL</p> <p>txs_mod_user: varchar(8) NULL</p> <p>txs_mod_date: datetime NULL</p> <p>txs_add_user: varchar(8) NULL</p> <p>txs_add_date: datetime NULL</p>

716
<p>employee</p> <p>emp_login_id: varchar(8) NOT NULL</p> <p>emp_last_name: varchar(30) NOT NULL</p> <p>emp_first_name: varchar(30) NOT NULL</p> <p>emp_middle_name: char(1) NULL</p> <p>emp_status: varchar(8) NOT NULL</p> <p>emp_status_date: datetime NOT NULL</p> <p>emp_mod_user: varchar(8) NULL</p> <p>emp_mod_date: datetime NULL</p> <p>emp_add_user: varchar(8) NULL</p> <p>emp_add_date: datetime NULL</p>

712
<p>employee_role</p> <p>emp_login_id: varchar(8) NOT NULL (FK) (E1.1)</p> <p>rl_id: varchar(8) NOT NULL (FK) (E2.1)</p> <p>erl_claim_loss_lim_check: money NULL</p> <p>erl_claim_loss_lim_claimmt: money NULL</p> <p>erl_claim_exp_check: money NULL</p> <p>erl_claim_exp_claimmt: money NULL</p> <p>erl_res_loss_increm_lim: money NULL</p> <p>erl_res_loss_agg_lim_claimmt: money NULL</p> <p>erl_res_exp_agg_lim_claimmt: money NULL</p> <p>erl_up_lim_check: money NULL</p> <p>erl_up_lim_up: money NULL</p> <p>erl_mod_user: varchar(8) NULL</p> <p>erl_mod_date: datetime NULL</p> <p>erl_add_user: varchar(8) NULL</p> <p>erl_add_date: datetime NULL</p> <p>erl_auto_diary: char(1) NULL</p>

TO 714
FIG. 26X

FIG. 26X

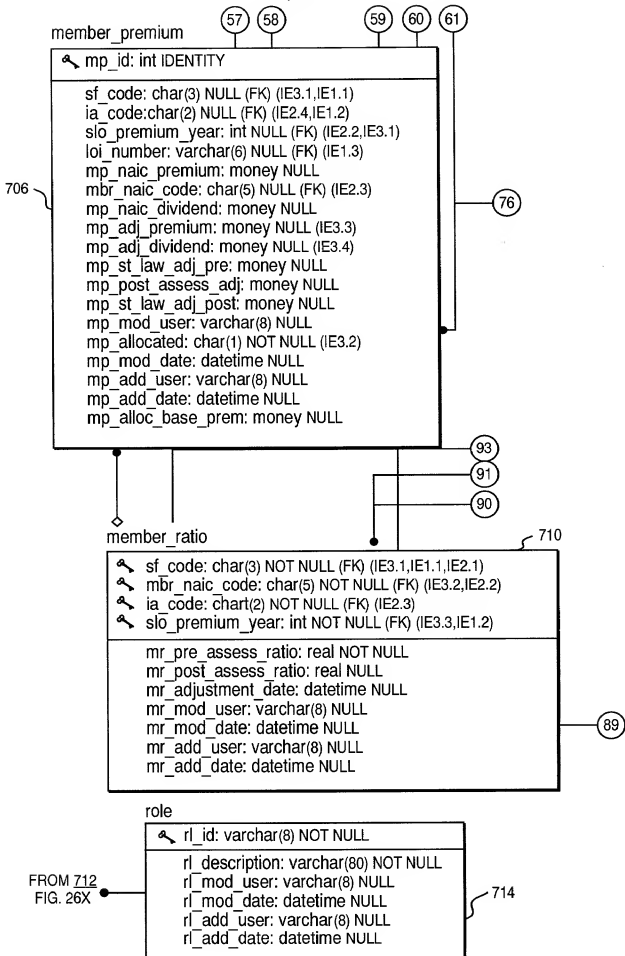


FIG. 26Y

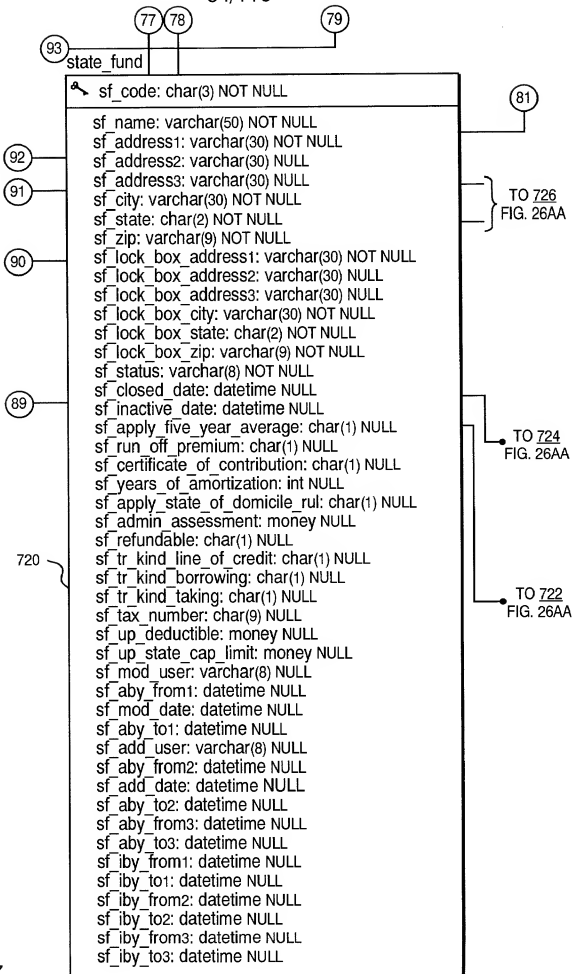


FIG. 26Z

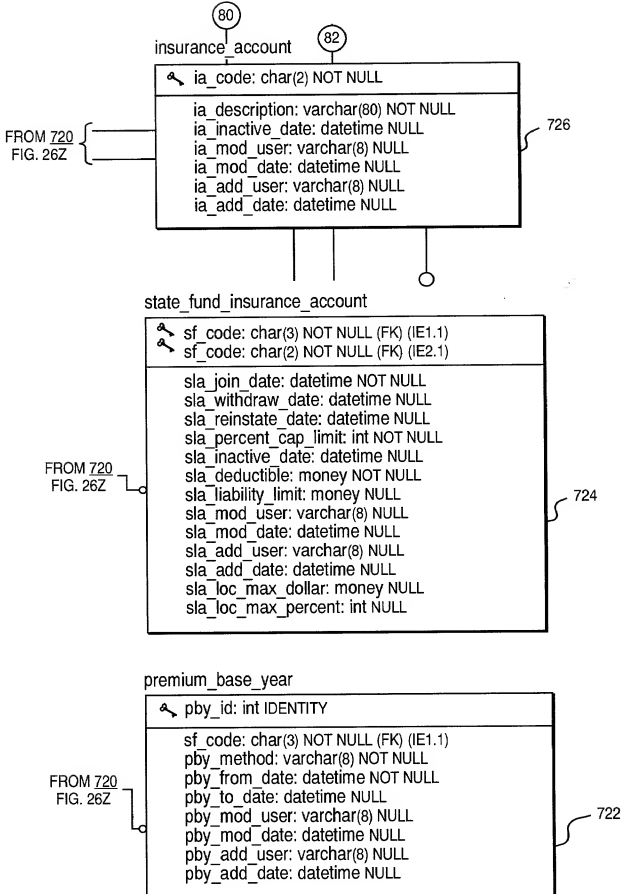


FIG. 26AA



FIG. 26CC

600

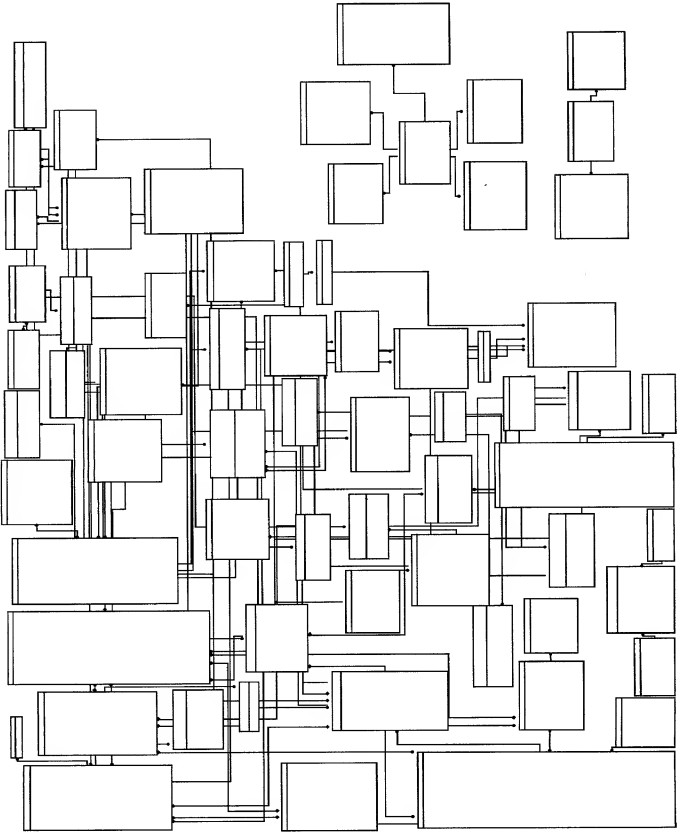
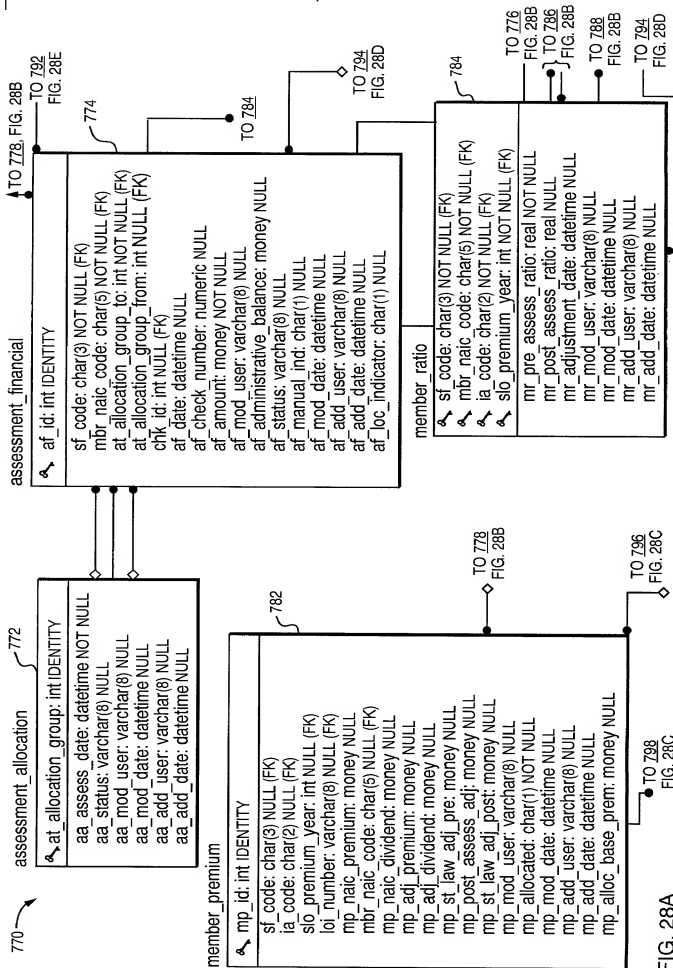


FIG. 26CC



member_ratio_inslow

ia_code: char(2) NOT NULL (FK)
mbr_nalc_code: char(5) NOT NULL (FK)
sf_code: char(3) NOT NULL (FK)
sf_code: char(3) NOT NULL
mbr_nalc_code: char(5) NOT NULL
ia_code: char(2) NOT NULL
slo_premium_year: int NOT NULL
mbr_nalc_code_insolvency: char(5) NOT NULL
mri_pre_assess_ratio: real NULL
mri_post_assess_ratio: real NULL
mri_adjustment_date: datetime NULL
mri_mod_user: varchar(8) NULL
mri_mod_date: datetime NULL
mri_add_user: varchar(8) NULL
mri_add_date: datetime NULL

FROM 784
FIG. 28A

FROM 782
FIG. 28A

financial_cash_receipt

cr_id: int NOT NULL (FK)
at_id: int NOT NULL (FK)
for_mod_user: varchar(8) NULL
for_mod_date: datetime NULL
for_add_user: varchar(8) NULL
for_add_date: datetime NULL
for_allocated_amount: money NOT NULL

FROM 784
FIG. 28A

member_state_fund TO 774, FIG. 28A

sf_code: char(3) NOT NULL (FK)
mbr_nalc_code: char(5) NOT NULL (FK)
mst_join_date: datetime NOT NULL (FK)
mst_withdraw_date: datetime NULL
mst_reinstatement_date: datetime NULL
mst_admin_ass_paid_date: datetime NULL
mst_trigger_date: datetime NULL
mst_inactive_date: datetime NULL
mst_outstanding_balance: money NULL
mst_send_mailing: char(1) NOT NULL
mst_mod_user: varchar(8) NULL
mst_premium_status: varchar(8) NULL
mst_mod_date: datetime NULL
mst_add_user: varchar(8) NULL
mst_add_date: datetime NULL

cash_receipt_detail

cr_id: int NOT NULL (FK)
at_id: int NOT NULL (FK)
sf_code: char(3) NOT NULL (FK)
cr_funding_nalc_code: char(5) NOT NULL (FK)
ia_code: char(2) NOT NULL (FK)
slo_premium_year: int NOT NULL (FK)
cr_insolvent_nalc_code: char(5) NOT NULL
cr_amount: money NOT NULL
cr_mod_user: varchar(8) NULL
cr_amount_fulfilled: money NULL
cr_mod_date: datetime NULL
cr_add_user: varchar(8) NULL
cr_add_date: datetime NULL
cr_orig_amount: money NULL

FROM 784
FIG. 28A

TO 780
FROM 806
FIG. 28C

state_fund_year_status

sf_code: char(3) NOT NULL
slo_premium_year: int NOT NULL
spy_status: varchar(8) NOT NULL
spy_mod_user: varchar(8) NULL
spy_mod_date: datetime NULL
spy_add_user: varchar(8) NULL
spy_add_date: datetime NULL

788

FROM 782
FIG. 28A

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member_prem_insol

798

mp_id: int NOT NULL
mbr_naic_code_insolency: char(5) NOT NULL (FK)
mpi_pre_assess_amount: money NULL
mpi_post_assess_amount: money NULL
mpi_mod_user: varchar(8) NULL
mpi_mod_date: datetime NULL
mpi_add_user: varchar(8) NULL
mpi_add_date: datetime NULL

FROM 782
FIG. 28A

assessment_allocation_msf

800

al_allocation_group: int NOT NULL
sf_code: char(3) NOT NULL
mix_naic_code_funding: char(5) NOT NULL
aam_loc_notification: char(1) NOT NULL

state_fund_loi_per_year

796

sf_code: char(3) NOT NULL (FK)
ia_code: char(2) NOT NULL (FK)
loi_number: varchar(6) NOT NULL (FK)
slo_premium_year: int NOT NULL (FK)
slo_levied_year: int NOT NULL
slo_mod_user: varchar(8) NULL
slo_mod_date: datetime NULL
slo_add_user: varchar(8) NULL
slo_add_date: datetime NULL

state_fund_premium_totals

802

sfp_id: int NOT NULL
sf_code: char(3) NOT NULL
slo_premium_year: int NOT NULL
ia_code: char(2) NOT NULL
sfp_insol_date: datetime NULL
sfp_amount: money NOT NULL
sfp_mod_user: varchar(8) NULL
sfp_mod_date: datetime NULL
sfp_add_user: varchar(8) NULL
sfp_add_date: datetime NULL

assessment_financial_staging

804

afs_id: int IDENTITY
at_allocation_group_to: int NULL
af_amount: money NULL
for_allocated_adjustment: money NULL
cr_id: int NULL
add_user: varchar(8) NULL
admin_bal_adjustment: money NULL

assessment_transaction

FROM 784
FIG. 28A

at_id: int IDENTITY
sf_code: char(3) NOT NULL (FK)
mbr_naic_code: char(5) NOT NULL (FK)
ia_code: char(2) NOT NULL (FK)
at_premium_year: int NOT NULL
at_kind: varchar(8) NOT NULL
at_assess_date: datetime NOT NULL
at_allocation_group: int NULL (FK)
at_type: varchar(9) NOT NULL
at_assess_amount: money NOT NULL
at_deferred_amount: money NULL
at_reversal: char(1) NOT NULL
at_from_deferred: char(1) NOT NULL
at_adjustment_date: datetime NULL
at_mod_user: varchar(8) NULL
at_mod_date: datetime NULL
at_add_user: varchar(8) NULL
at_add_date: datetime NULL
at_reverse_at_id: int NULL
at_loc_notification: char(1) NULL

TO
788,
FIG.
28B

FROM 792
FIG. 28E

FIG. 28C

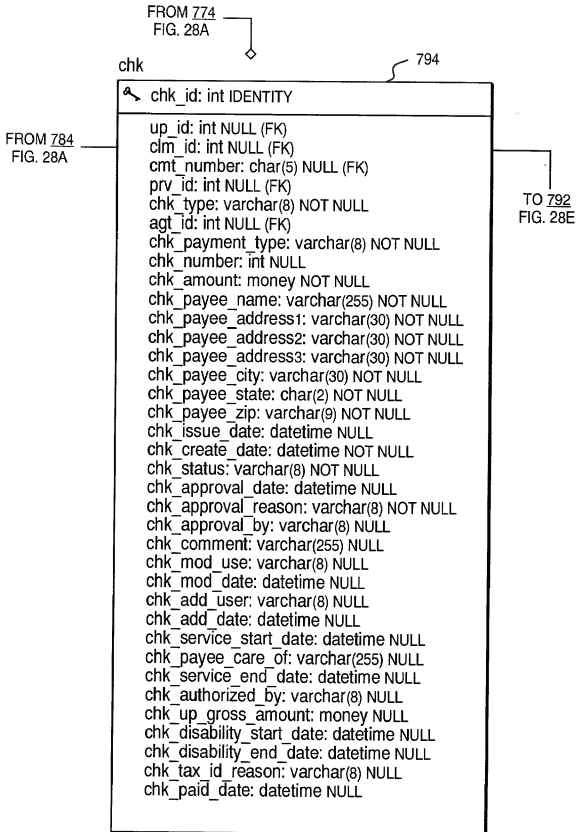


FIG. 28D

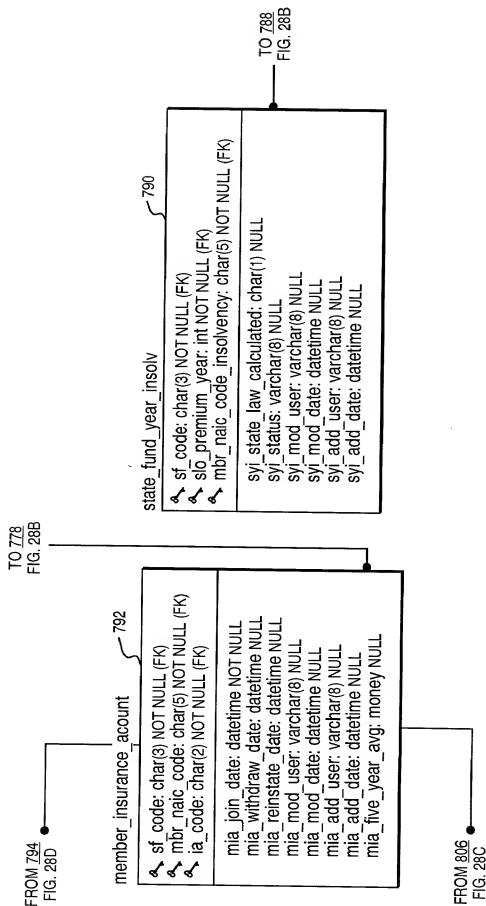


FIG. 28E

1000

1006a

NAIC Data Load

1006b 1006c 1006d 1006e 1006f

Premium Year	State Fund	File Type	Date	Records Staged	Records Loaded	Status
1999	VA	MEMPREM	08/28/2000	40480	29991	LOADED
1999	CT	MEMPREM	08/27/2000	34640	24336	LOADED
1999	RI	MEMPREM	08/27/2000	32960	242024	LOADED
1999	VT	MEMPREM	08/25/2000	31400	20124	LOADED
1999	NH	MEMPREM	08/24/2000	29400	18759	LOADED
1999	ME	MEMPREM	08/23/2000	30000	19071	LOADED
1999	MA	MEMPREM	08/22/2000	34720	23829	LOADED
1999	DC	MEMPREM	08/21/2000	35080	25584	LOADED

1004

1002a Stage from File...

1002b Preview Data...

1002c Load into CAPS

1002d Clear

1002e Cancel

FIG. 29

-1018

NAIC Data Preview - MEMPREM

Premium Year: 1999
 State Fund: VA

Member Find: Find

Filter:
☒ All
☐ Loaded
☐ Not Loaded

NAIC	State Fund	LOI	Premium	Dividends	Unpaid	Loaded
10014 VA		1	1632932	0	0	Y
10014 VA		2.1	94363	0	0	Y
10014 VA		2.2	0	0	0	Y
10014 VA		2.3	0	0	0	Y
10014 VA		3	0	0	0	Y
10014 VA		4	4784	940	15804	Y
10014 VA		5.1	39856	0	0	Y
10014 VA		5.2	0	0	0	Y
10014 VA		6	0	0	0	Y
10014 VA		8	136029	0	48028	Y
10014 VA		9	600117	0	3075	Y
10014 VA		10	0	0	0	Y
10014 VA		11	0	0	0	Y
10014 VA		12	0	0	0	Y
10014 VA		13	0	0	0	Y
10014 VA		14	0	0	0	Y
10014 VA		15.1	0	0	0	Y
10014 VA		15.2	0	0	0	Y
10014 VA		15.3	0	0	0	Y
10014 VA		15.4	0	0	0	Y

Cancel

1010

NAIC File Staging

State Fund:

Premium Year: 1999

File Type:

File Name:

Status:

% Complete:

1012

OK Options Close

FIG. 31

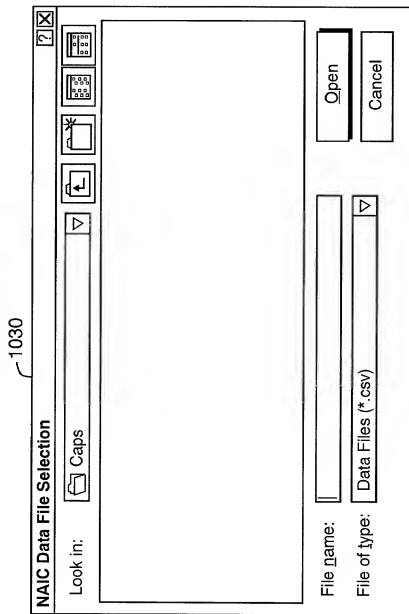


FIG. 32

1040

NAIC Data Load Options

Line where Surplus can be found in the Liabilities file:

Line where Net Income can be found in the Underwriting and Investment file:

FIG. 33

COLEGE

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Premium Summary									
State Fund: RI		<input checked="" type="checkbox"/> Year: 1994	<input checked="" type="checkbox"/> Insolvency Date:		<input type="text"/> Find		<input type="text"/> Status: Approved		
		<input checked="" type="checkbox"/> 1108a	<input checked="" type="checkbox"/> 1108b				<input type="text"/> 1110		<input checked="" type="checkbox"/> 1111
Premium Subtotals					1104 Member Ratios				
Member	NAIC#	Auto	Other	Worker's Compensation	Total	(Non-Assessable)	Auto P.A.		
AFFILIATED FM INSURAN	10014	0	1,087,498	3	1,087,501	325,967			
ARBELLA INDEMNITY INS	10017	0	0	0	0	0			
AGWAY INSURANCE COM	10022	3,721	769	0	4,490				
ACE INDEMNITY INSURAN	10030	0	0	0	0	0			
AMERICAN BANKERS INS	10111	87,833	1,844,745	0	1,932,578	754,632			
AMERICAN LIVE STOCK IN	10200	0	0	0	0	0			
NATIONAL CONTINENTAL	10243	0	0	0	0	0			
APPALACHIAN INSURANC	10316	0	0	0	0	0			
NOBEL INSURANCE COM	10340	0	74	0	74	57,432			
AVENCO INSURANCE CO	10367	0	159,302	0	159,302	21,583			
AMERICAN CENTENNIAL	10391	0	80,000	0	80,000	74,182			
SUMMIT INSURANCE COM	10430	155,640	351,920	0	507,560				
CANAL INSURANCE COM	10464	1,199,321	38,491	0	1,237,812				
CHRYSLER INSURANCE C	10499	697,682	132,883	1,195	831,760	10,837			
CAROLINA CASUALTY INS	10510	109,930	5,675	0	115,605				
PROSECT INSURANCE	10638	0	0	0	0				
<input checked="" type="checkbox"/>									
Premium Detail...	Add Premium...	Delete Premium	Insolvency Dates...		Calculate State Law		Close		

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FIG. 35

FIG. 35

FIG. 36

FIG. 36

1140b

Premium Summary						
State Fund: <input type="text" value="RI"/>		Year: <input type="text" value="1994"/>	Insolvency Date: <input type="text" value="▽"/>	Member Fund: <input type="text" value="NAIC #:"/> Status: <input type="text" value="Approved"/>		
		Find <input type="text"/>				
Premium Subtotals			Member Ratios			
Member	NAIC#	Other	Worker's Compensal	Auto P.A.	Other P.A.	Workers' Compensa
AFFILIATED FM INSURAN	10014	0.00287785	0.00000011			
ARBELLA INDEMNITY INS	10017	0.00000000	0.00000000			
AGWAY INSURANCE COM	10022	0.00000204	0.00000000			
ACE INDEMNITY INSURAN	10030	0.00000000	0.00000000			
AMERICAN BANKERS INS	10111	0.00488175	0.00000000		0.0079760	
AMERICAN LIVE STOCK IN	10200	0.00000000	0.00000000			
NATIONAL CONTINENTAL	10243	0.00000000	0.00000000			
APPALACHIAN INSURANC	10316	0.00000000	0.00000000			
NOBEL INSURANCE COM	10340	0.00000020	0.00000000			
AVEMCO INSURANCE CO	10367	0.00042156	0.00000000			
AMERICAN CENTENNIAL	10391	0.00021170	0.00000000			
SUMMIT INSURANCE COM	10430	0.00093129	0.00000000			
CANAL INSURANCE COM	10464	0.00010186	0.00000000			
CHRYSLER INSURANCE C	10499	0.00035165	0.00004286			
CAROLINA CASUALTY INS	10510	0.00001555	0.00000000			
Calculate Ratios...			Recalculate Member		Close	

FIG. 37

FOZET 22250660

82/116

1150a

Premium Detail									
State Fund: RI		Year: 1994	NAIC #: 0111	AMERICAN BANKERS INS. CO. OF FLORIDA		1152d { 1152e		Status: Approved	
1154a		1152c { 1152b		Insolvency Date: / /		> 3000 Policy Holders: <input type="checkbox"/>			
LO#	Line of Insurance	Account	NAIC Premium	NAIC Dividend	Adjusted Premium	Adjusted Dividend	State Law Adjusted	Net F	
1	FIRE	Other	2,848	0					
2.1	ALLIED LINES	Other	132	0					
3	FARMOWNERS MULTIPL	Other	15,478	0					
4	HOMEOWNERS MULTIPL	Other	505,624	0					
9	INLAND MARINE	Multi	1,296,383	0	1,296,383	0			
13	GROUP ACCIDENT & HEA	(Non-Asse	344,485	0					
15.6	ALL OTHER A&H	(Non-Asse	65,865	0					
17	OTHER LIABILITY	Other	24,280	0					
19.2	OTHER PRIVATE PASSEN	Auto	26,845	0					
21.1	PRIVATE PASSENGER AIR	Auto	60,988	0					
24	SURETY	(Non-Asse	730	0					
31	AGGREGATE WRITE-INS	Multi	343,552	0	343,552	0			
4	Totals								
1154b			NAIC Premium: 2,687,210	Net Premium: 2,687,210					
			NAIC Dividend: 0	Net Premium Post-Assessment: 1,112,469					
			Save	Allocate	Close				

FIG. 38

FOURTY-2450660

83/116

1150b

Premium Detail						
State Fund: RI		Year: 1994	NAIC #: 10111	AMERICAN BANKERS INS. CO. OF FLORIDA		Status: Approved
				Insolvency Date: / /	> 3000 Policy Holders: <input type="checkbox"/>	
LO#	Line of Insurance	Account	Net Premium	Post Assessment: Adjusted	State Law post Ass	Net Premium Post A
1	FIRE	Other	2,848			2,848
2.1	ALLIED LINES	Other	132			132
3	FARMOWNERS MULTIPL	Other	15,478			15,478
4	HOMEOWNERS MULTIPL	Other	505,624	505,327		505,327
9	INLAND MARINE	Multi	1,296,383	131,223		131,223
13	GROUP ACCIDENT & HEA	(Non-Asse	344,485	968		968
15.6	ALL OTHER A&H	(Non-Asse	65,865	98		98
17	OTHER LIABILITY	Other	24,280			24,280
19.2	OTHER PRIVATE PASSEN	Auto	26,845			26,845
21.1	PRIVATE PASSENGER AIR	Auto	60,988			60,988
24	SURETY	(Non-Asse	730			730
31	AGGREGATE WRITE-INS	Multi	343,552			343,552
Totals						
NAIC Premium: 2,687,210			Net Premium: 2,687,210			
NAIC Dividend: 0			Net Premium Post-Assessment: 1,112,469			
Save			Allocate		Close	

FIG. 39

102277 22250660

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1162

1160

AGGREGATE WRITE-INS Allocation



Allocation Base Total: Unallocated:

Status:

Insurance Account Total:

Account	Subtotal
Auto	0
Other	0
Workers' Compensa	0
(Non-Assessable)	343,552

Allocation:

1162a 1162b 1162c

LOI#	Line of Insurance	Account	Allocation Base	Premium	Dividend	State Law	Net	Post-Assessment
31.996	CONVERSION - AUTO	Auto		0	0			0
31.996	CONVERSION - OTHER	Other		0	0			0
31.996	CONVERSION - WORKERS	Workers'C		0	0			0
31.996	CONVERSION - NONE	(Non-Asse		343,552				343,552

1160a

1160b

1160c

1160d

Save

Cancel

FIG. 40

1170

Insolvency Date Maintenance

Available Insolvencies:

Insolvency	Date
Empire Mutual Insurance Company	09/07/19
Allied Fidelity Insurance Company	07/15/19
American Druggist Insurance Company	04/30/19
Carriers Insurance Company	01/16/19
Commercial Compensation Casualty Co	09/26/20
Integrity Insurance Company	03/24/19
American Reserve Insurance Company	06/07/19
Credit General Insurance Company	01/05/20
Transit Casualty Company	12/03/19

Add >

< Remove

Selected Insolvencies:

Insolvency	Date

Save

Cancel

FIG. 41

1180

Gross Assessment

1182a State Fund:

1182b Insolvency:

1182c Insurance Account:

1182d Kind:

1182e Type:

1182f Assessment Date:

Status:

Amount:

Deferred Amount:

Premium Base Year:

Reversal: ☐

Recommended:

1182g

1182h

1182i

1184a New

1184b Activate

1184c Rescind

1184d Reverse

1184e Repay

1184f Search...

1184g Save

1184h Close

FIG. 42

1200

Individual Assessment Search

State Fund: 1202a

Insolvency:

Insurance Account:

Kind:

Type:

Assessment Date: / / Reversal: ☐

Premium Base Date: Include Adjustments: ☐

Status:

Assess Date	State Fund	Insolvency	Acct.	Kind	Type	Amount	Base Year	Status	Reversal

1206

1202

Search New Delete Select Close

1204

FIG. 43

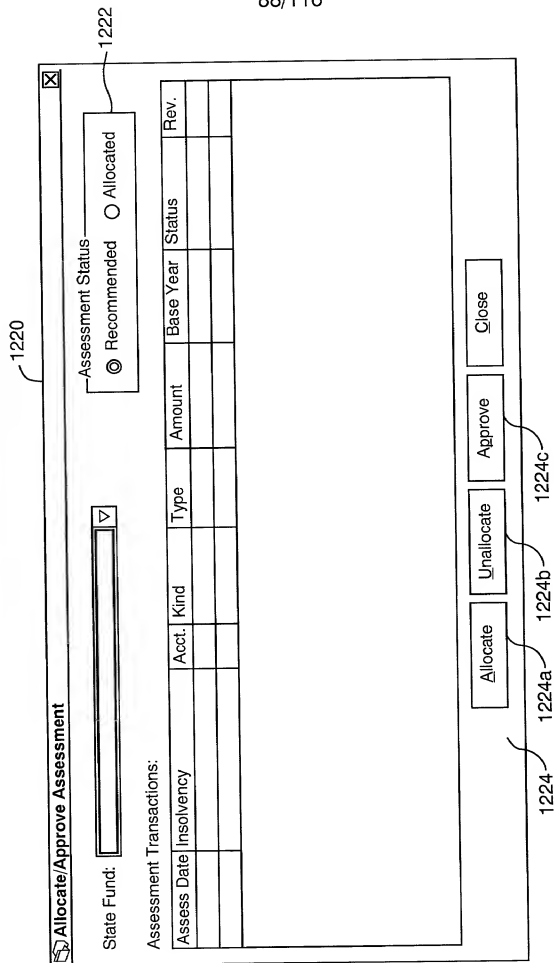


FIG. 44

1300

Assessment History

State Fund: ☐ CT ☒ VA NA/C#: 0111 AMERICAN BANKERS INS. CO. OF FLORIDA 1308e

Payment (Refund) ☐ Check Number: 1308b

Date: / / L.O.C. Balance: 1308c 0

Amount: Member Balance: 1308d 0

Line of Credit

Assessments	1302	Comments	1304	Line of Credit	1306
Assessment Date	Adjustment Date	Amount	Paid Amount	Apply Amount	
12/28/2000		16,215	16,215	N	
10/27/1999		172	172	N	
11/06/1998		-605	-605	N	
11/24/1997		-1,446	-1,446	N	
12/17/1996		-2,386	-2,386	N	
12/05/1995		-3,003	-3,003	N	
11/08/1994		-3,523	-3,523	N	
12/13/1993		-1,916	-1,916	N	
11/17/1992		-5,184	-5,184	N	
11/18/1991		673	673	N	
06/05/2001		540	540	N	

1302b

Assess Detail... Fin. Detail... L.O.C. Notification Payment Refund Apply To Save Close

1322 1322b

FIG. 45

1310

FIG. 46

1320

Assessment History

State Fund: NAIC#: AMERICAN BANKERS INS. CO. OF FLORIDA

Payment / Refund

Date: / Check Number:

Amount: ☒ Line of Credit

L.O.C. Balance:

Member Balance:

1322a

1320a

Assessments

Comments

Line of Credit

Assessment Date	Adjustment Date	L.O.C. Amount	Amount Paid	Status	Notification

1322

1325

1324

Assess Detail...

Fin. Detail...

L.O.C. Notification

Payment

Refund

Apply To

Save

Close

FIG. 47

102211-2250660

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1340

Assessment Detail						
State Fund:	CT	Payment/Refund Amount:	16,215			
Member:	AMERICAN BANKERS INS. CO. OF	Payment/Refund Allocated:	16,215			
Assessment Date:	12/28/2000	Total Applied:	16,215			
Adjustment Date:		Total Allocated:	16,215			
Cash Receipt Amount:	16,215	Outstanding Balance:	0			

Insolvency	Account	Assessment Amount	Pay./Ref. Allocated	Total Allocated	Paid	Δ
IDEAL MUTUAL	Auto	-6	-6	-6	Y	
IDEAL MUTUAL	Auto	-1	-1	-1	Y	
IDEAL MUTUAL	Auto	6	6	6	Y	
IDEAL MUTUAL	Auto	1	1	1	Y	
IDEAL MUTUAL	Other	-279	-279	-279	Y	
IDEAL MUTUAL	Other	-63	-63	-63	Y	
TRANSIT CASUALTY	Auto	167	167	167	Y	
TRANSIT CASUALTY	Auto	-137	-137	-137	Y	
TRANSIT CASUALTY	Auto	-32	-32	-32	Y	
TRANSIT CASUALTY	Auto	-5	-5	-5	Y	
TRANSIT CASUALTY	Auto	0000	0000	0000	Y	

Assessment Amount:	
0	

Save	Partial/Over...	Gross Assessment...	Cancel

1340a 1340b 1340c

FIG. 48

1350

Partial / Over Allocation ☒

Assessment Amount:

Allocation Amount:

FIG. 49

1400

Payment Modification ☒

Modification Date:

FIG. 52

1360

Gross Assessment	
State Fund:	CT - Connecticut Insurance Guaranty Association
Insolvency:	111 - Reserve Insurance Company
Insurance Account:	Other
Kind:	REGULAR
Type:	Credit
Assessment Date:	11/08/1998
Status:	Approved
Amount:	-27,955
Deferred Amount:	
Premium Base Year:	1979
Reversal:	<input type="checkbox"/>
New	Activate
Rescind	Reverse
Repay	Search...
Save	Close

FIG. 50

1380

Financial Detail			
State Fund:	CT	Cash Receipt Amount:	1,573
Member:	COVENANT INSURANCE COMPANY	Applied Amount:	1,573
Assessment Date:	11/06/1998	Allocated Amount:	1,573
Adjustment Date:		Outstanding Balance:	0

Payment Activity		Refund Activity		Line of Credit Activity	
Applied Date	Check Number	Check Amount	Amount Applied	Status	
01/04/1999	100753	1,573	1,573		

Assessment Detail... Modify Delete Close

1382a 1382b

FIG. 51

102247 2225000

1410

96/116

Financial Detail

State Fund: CT

Cash Receipt Amount: 1,573

Member: COVENANT INSURANCE COMPANY

Applied Amount: 1,573

Assessment Date: 11/06/1998

Allocated Amount: 1,573

Adjustment Date:

Outstanding Balance: 0

Payment Activity

Applied Date	Check Number	Check Amount	Amount Applied	Status

Refund Activity

Line of Credit Activity

Assessment Detail...

Modify

Delete

Withhold

Close

1412

FIG. 53

1420

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Financial Detail		Payment Activity		Refund Activity		Line of Credit Activity	
State Fund:	<input type="text" value="CT"/>	Cash Receipt Amount:	<input type="text" value="1.573"/>				
Member:	<input type="text" value="COVENANT INSURANCE COMPANY"/>	Applied Amount:	<input type="text" value="1.573"/>				
Assessment Date:	<input type="text" value="11/06/1998"/>	Allocated Amount:	<input type="text" value="1.573"/>				
Adjustment Date:	<input type="text"/>	Outstanding Balance:	<input type="text" value="0"/>				

Applied Date	Check Number	Check Amount	Amount Applied	Status

FIG. 54

09067 *Phlox pilularis* Nutt.

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Status	State Fund	NAIC#:	Check #	Issue Date	Paid Date	Amount	Payee Name	Address	C/A
PAID	CT	20524	315822	05/14/2001	05/18/2001	1,696	SPECIALTY NATION	ATTN: FAY MAHONEY	L
PAID	CT	16187	315823	05/14/2001	05/18/2001	82	AXA RE PROPERTY	17 STATE STREET	N
PAID	CT	20362	315597	03/07/2001	03/09/2001	51	SUMITOMO MARINE	ATTN: DEBRA DUNN	W
PAID	CT	20583	315598	03/07/2001	03/09/2001	460	NAC REINSURANCE SEAVIEW HOUSE		S
PAID	CT	12750	315478	01/29/2001	02/02/2001	1	EVERGREEN NATIO	P.O. BOX 18295	C
PAID	CT	10014	315101	12/28/2000	12/28/2000	8,088	AFFILIATED FM INS	ATTN: R.N. PRATT, P	JL
PAID	CT	10022	315102	12/28/2000	12/28/2000	502	AGWAY INSURANC	ATTN: STATISTICAL	D
PAID	CT	10069	315103	12/28/2000	12/28/2000	7	HOUSING AUTHORI	P.O. BOX 189	C
PAID	CT	10200	315104	12/28/2000	12/28/2000	614	AMERICAN LIVE ST	ATTN: MICHAEL T BA	G
PAID	CT	10243	315105	12/28/2000	12/28/2000	7	NATIONAL CONTIN	ATTN: CORPORATE I	M
<	<								>

Search Results:

Refund Check Search	State Fund: CT ▾	State Fund: []	Refund Check: []	Number: []	Paid Date: / /	Status: [] ▾
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FIG. 55

—

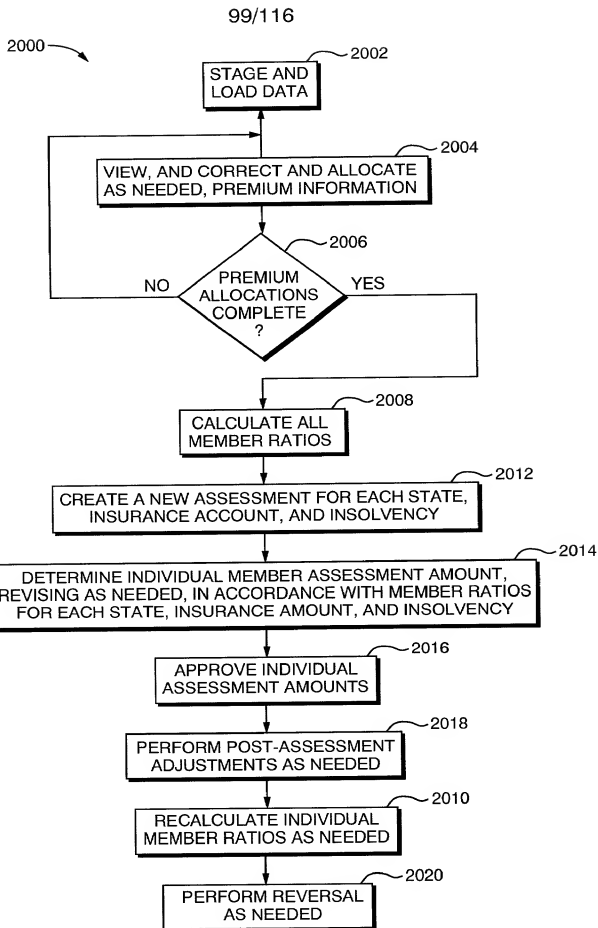


FIG. 56

4000

4002

ASSESSMENT_FINANCIAL_STAGING				△
	COLUMN NAME	CONDENSED TYPE	NULLABLE	
🔍	afs_id	int	NOT NULL	
	at_allocation_group_to	int	NULL	
	af_amount	money	NULL	
	fcr_allocated_adjustment	money	NULL	
	cr_id	int	NULL	
	add_user	varchar(8)	NULL	
	admin_bal_adjustment_id	money	NULL	
◀				▶

4004

ASSESSMENT_ALLOCATION_MSF				△
	COLUMN NAME	CONDENSED TYPE	NULLABLE	
🔍	at_allocation_group_to	int	NOT NULL	
🔍	sf_code	char(3)	NOT NULL	
🔍	mbr_naic_code_funding	char(5)	NOT NULL	
	aam_loc_notification	char(5)	NOT NULL	
◀				▶

4006

MEMBER_RATIO				△
	COLUMN NAME	CONDENSED TYPE	NULLABLE	
🔍	sf_code	char(3)	NOT NULL	
🔍	mbr_naic_code	char(5)	NOT NULL	
🔍	ia_code	char(5)	NOT NULL	
🔍	slo_premium_year	int	NOT NULL	
	mr_pre_assess_ratio	real	NOT NULL	
	mr_post_assess_ratio	real	NULL	
	mr_adjustment_date	datetime	NULL	
	mr_mod_user	varchar(8)	NULL	
	mr_mod_date	datetime	NULL	
	mr_add_user	varchar(8)	NULL	
	mr_add_date	datetime	NULL	
◀				▶

FROM 4014
FIG. 57B

CCC

TO 4018
FIG. 57E

FIG. 57A FROM 4008, FIG. 57D

TO 4026, FIG. 57F

STATE_FUND_PREMIUM_TOTALS				△
	COLUMN NAME	CONDENSED TYPE	NULLABLE	
🔑	sfp_id	int	NOT NULL	
	sf_code	char(3)	NOT NULL	
	slo_premium_year	int	NOT NULL	
	ia_code	char(2)	NOT NULL	
	sfp_insoiv_date	datetime	NULL	
	sfp_amount	money	NOT NULL	
	sfp_mod_user	varchar(8)	NULL	
	sfp_mod_date	datetime	NULL	
	sfp_add_user	varchar(8)	NULL	
	sfp_add_date	datetime	NULL	
◀				▶ ▾

TO 4020
FIG. 57C

MEMBER_PREMIUM				△
	COLUMN NAME	CONDENSED TYPE	NULLABLE	
	sf_code	char(3)	NULL	
🔑	mp_id	int	NOT NULL	
	ia_code	char(2)	NULL	
	slo_premium_year	int	NULL	
	loi_number	varchar(6)	NULL	
	mp_naic_premium	money	NULL	
	mbr_naic_code	char(5)	NULL	
	mp_naic_dividend	money	NULL	
	mp_adj_premium	money	NULL	
	mp_adj_dividend	money	NULL	
	mp_st_law_adj_pre	money	NULL	
	mp_post_assess_adj	money	NULL	
	mp_st_law_adj_post	money	NULL	
	mp_mod_user	varchar(8)	NULL	
	mp_allocated	char(1)	NOT NULL	
	mp_mod_date	datetime	NULL	
	mp_add_user	varchar(8)	NULL	
	mp_add_date	datetime	NULL	
	mp_alloc_base_prem	money	NULL	▾

TO 4006
FIG. 57A

TO 4022
FIG. 57C

FROM 4016
FIG. 57E

FIG. 57B

FROM 4014
FIG. 57B

FROM 4014
FIG. 57B

FIG. 57C

TO 4006
FIG. 57A

4008

MEMBER_RATIO_INSOLV				△
	COLUMN NAME	CONDENSED TYPE	NULLABLE	
🔍	sf_code	char(3)	NOT NULL	
🔍	mbr_naic_code	char(5)	NOT NULL	
🔍	ia_code	char(5)	NOT NULL	
🔍	slo_premium_year	int	NOT NULL	
🔍	mbr_naic_code_insolvency	char(5)	NOT NULL	
	mr_pre_assess_ratio	real	NULL	
	mr_post_assess_ratio	real	NULL	
	mr_adjustment_date	datetime	NULL	
	mr_mod_user	varchar(8)	NULL	
	mr_mod_date	datetime	NULL	
	mr_add_user	varchar(8)	NULL	
	mr_add_date	datetime	NULL	
△				▽

4010

PREMIUM_BASE_YEAR				△
	COLUMN NAME	CONDENSED TYPE	NULLABLE	
🔍	pby_id	int	NOT NULL	
	sf_code	char(3)	NOT NULL	
	pby_method	varchar(8)	NOT NULL	
	pby_from_date	datetime	NOT NULL	
	pby_to_date	datetime	NULL	
	mr_mod_user	varchar(8)	NULL	
	mr_mod_date	datetime	NULL	
	mr_add_user	varchar(8)	NULL	
	mr_add_date	datetime	NULL	
△				▽

FIG. 57D

TO 4014
FIG. 57B

4016

MEMBER_PREM_INSOLV				△
	COLUMN NAME	CONDENSED TYPE	NULLABLE	
🔍	mp_id	int	NOT NULL	
🔍	mbr_naic_code_insolvency	char(5)	NOT NULL	
	mpi_pre_assess_amount	money	NULL	
	mpi_post_assess_amount	money	NULL	
	mpi_mod_user	varchar(8)	NULL	
	mpi_mod_date	datetime	NULL	
	mpi_add_user	varchar(8)	NULL	
	mpi_add_date	datetime	NULL	
◁				▷

FROM 4006
FIG. 57A

FROM 4024
FIG. 57F

STATE_FUND_YEAR_STATUS				△
	COLUMN NAME	CONDENSED TYPE	NULLABLE	
🔍	sf_id	char(3)	NOT NULL	
🔍	slo_premium_year	int	NOT NULL	
	spy_status	varchar(8)	NULL	
	spy_mod_user	varchar(8)	NULL	
	spy_mod_date	datetime	NULL	
	spy_add_user	varchar(8)	NULL	
	spy_mod_date	datetime	NULL	
◁				▷

4018

FIG. 57E

TO 4022
FIG. 57F

4024

STATE_FUND_YEAR_INSOLV				△
	COLUMN NAME	CONDENSED TYPE	NULLABLE	
🔍	sf_code	char(3)	NOT NULL	
🔍	slo_premium_year	int	NOT NULL	
🔍	mbr_naic_code_insolvency	char(5)	NOT NULL	
	syi_state_law_calculated	char(1)	NULL	
	syi_status	varchar(8)	NULL	
	syi_mod_user	varchar(8)	NULL	
	syi_mod_date	datetime	NULL	
	syi_add_user	varchar(8)	NULL	
	syi_add_date	datetime	NULL	
◀				▶ ▼

TO 4018
FIG. 57E

AAA

FROM
4006
FIG. 57A

4026

MEMBER_INSURANCE_ACCOUNT				△
	COLUMN NAME	CONDENSED TYPE	NULLABLE	
🔍	sf_code	char(3)	NOT NULL	
🔍	mbr_naic_code	char(5)	NOT NULL	
🔍	ia_code	char(5)	NOT NULL	
	mia_join_date	datetime	NOT NULL	
	mia_withdraw_date	datetime	NULL	
	mia_reinstate_date	datetime	NULL	
	mia_mod_user	varchar(8)	NULL	
	mia_mod_date	datetime	NULL	
	mia_add_user	varchar(8)	NULL	
	mia_add_date	datetime	NULL	
	mia_five_year_avg	money	NULL	
◀				▶ ▼

FIG. 57F

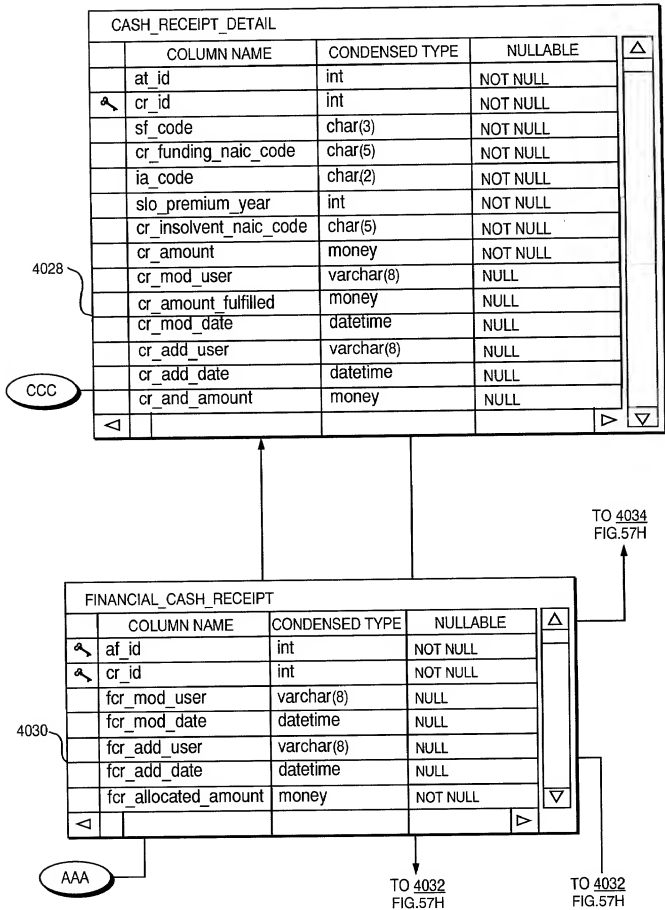


FIG. 57G

FROM 4030
FIG. 57G

TO 4038
FIG. 57G

ASSESSMENT_TRANSACTION				△
	COLUMN NAME	CONDENSED TYPE	NULLABLE	
	sf_code	char(3)	NOT NULL	4032
🔍	at_id	int	NOT NULL	
	mbr_naic_code	char(5)	NOT NULL	
	ia_code	char(2)	NOT NULL	
	at_premium_year	int	NOT NULL	
	at_kind	varchar(8)	NOT NULL	
	at_assess_date	datetime	NOT NULL	
	at_allocation_group	int	NULL	
	at_type	varchar(8)	NOT NULL	
	at_assess_amount	money	NOT NULL	
	at_deferred_amount	money	NULL	
	at_reversal	char(1)	NOT NULL	
	at_from_deferred	char(1)	NOT NULL	
	at_adjustment_date	datetime	NULL	
	at_mod_user	varchar(8)	NULL	
	at_mod_date	datetime	NULL	
	at_add_user	varchar(8)	NULL	
	at_add_date	datetime	NULL	
	at_reverse_at_id	int	NULL	
	at_loc_notification	char(1)	NULL	
				▽

FIG. 57H

ASSESSMENT_FINANCIAL				△
	COLUMN NAME	CONDENSED TYPE	NULLABLE	
🔑	af_id	int	NOT NULL	
	sf_code	char(3)	NOT NULL	
	mbr_naic_code	char(5)	NOT NULL	
	at_allocation_group_to	int	NOT NULL	
	at_allocation_group_from	int	NULL	
	chk_id	int	NULL	
	af_date	datetime	NULL	
	af_check_number	numeric(18,0)	NULL	
	af_amount	money	NOT NULL	
	af_mod_user	varchar(8)	NULL	
	af_administrative_balance	money	NULL	
	af_status	varchar(8)	NULL	
	af_manual_ind	char(1)	NULL	
	af_mod_date	datetime	NULL	
	af_add_user	varchar(8)	NULL	
	af_add_date	datetime	NULL	
	af_loc_indicator	char(1)	NULL	
◀				▶

TO
4036,
FIG.
57J

FROM 4030
FIG. 57G

BBB

ASSESSMENT_ALLOCATION				△
	COLUMN NAME	CONDENSED TYPE	NULLABLE	
🔑	at_allocation_group	int	NOT NULL	
	aa_assess_date	datetime	NOT NULL	
	aa_status	varchar(8)	NOT NULL	
	aa_mod_user	varchar(8)	NULL	
	aa_mod_date	datetime	NULL	
	aa_add_user	varchar(8)	NULL	
	aa_add_date	datetime	NULL	
◀				▶

FROM
4032,
FIG.
57H

4038

FIG. 57I

4036

CHK				△
	COLUMN NAME	CONDENSED TYPE	NULLABLE	
	chk_id	int	NOT NULL	
	up_id	int	NULL	
	clm_id	int	NULL	
	cmt_number	char(5)	NULL	
	prv_id	int	NULL	
	chk_type	varchar(8)	NOT NULL	
	agt_id	int	NULL	
	chk_payment_type	varchar(8)	NOT NULL	
	chk_number	int	NULL	
	chk_amount	money	NOT NULL	
	chk_payee_name	varchar(255)	NOT NULL	
	chk_payee_address1	varchar(30)	NOT NULL	
	chk_payee_address2	varchar(30)	NULL	
	chk_payee_address3	varchar(30)	NULL	
	chk_payee_city	varchar(30)	NOT NULL	
	chk_payee_state	char(2)	NOT NULL	
	chk_payee_zip	varchar(9)	NOT NULL	
	chk_issue_date	datetime	NULL	
	chk_create_date	datetime	NOT NULL	
	chk_status	varchar(8)	NOT NULL	
	chk_approval_date	datetime	NULL	
	chk_approval_reason	varchar(8)	NULL	
	chk_approval_by	varchar(8)	NULL	
	chk_comment	varchar(255)	NULL	
	chk_mod_user	varchar(8)	NULL	
	chk_mod_date	datetime	NULL	
	chk_add_user	varchar(8)	NULL	
	chk_add_date	datetime	NULL	
	chk_service_start_date	datetime	NULL	
	chk_payee_care_of	varchar(255)	NULL	
	chk_service_end_date	datetime	NULL	
	chk_authorized_by	varchar(8)	NULL	
	chk_up_gross_amount	money	NULL	
	chk_disability_start_date	datetime	NULL	
	chk_disability_end_date	datetime	NULL	
	chk_tax_id_reason	varchar(8)	NULL	
	chk_paid_date	datetime	NULL	

FIG. 57J

4100

4102

4104

NAIC_NATIONWIDE			
COLUMN NAME	CONDENSED TYPE	NULLABLE	
nn_id	int	NOT NULL	
nl_id	int	NOT NULL	
nn_premium_year	int	NOT NULL	
nn_sf_code	char(3)	NOT NULL	
nn_naic_code	char(5)	NOT NULL	
nn_line_number	varchar(7)	NULL	
nn_insurer_licensed	money	NULL	
nn_gross_premium	money	NULL	
nn_dividend	money	NOT NULL	
nn_direct_loss_unpaid	money	NULL	
nn_federal_premium	money	NULL	
nn_loaded_ind	char(1)	NULL	
nn_status	varchar(8)	NULL	
nn_mod_user	varchar(8)	NULL	
nn_mod_date	datetime	NULL	
nn_add_user	varchar(8)	NULL	
nn_add_date	datetime	NULL	

NAIC_LOAD			
COLUMN NAME	CONDENSED TYPE	NULLABLE	
nl_id	int	NOT NULL	
nl_premium_year	int	NOT NULL	
nl_sf_code	char(3)	NOT NULL	
nl_file_type	varchar(8)	NOT NULL	
nl_status_date	datetime	NOT NULL	
nl_records_staged	int	NOT NULL	
nl_records_loaded	int	NULL	
nl_status	varchar(8)	NOT NULL	
nl_mod_user	varchar(8)	NULL	
nl_mod_date	datetime	NULL	
nl_add_user	varchar(8)	NULL	
nl_add_date	datetime	NULL	

FROM 4106
FIG. 58B

FROM 4110
FIG. 58C

FROM 4108
FIG. 58B

FROM 4112
FIG. 58D

FIG. 58A

5-4108

TO 4104
FIG. 58CTO 4112
FIG. 58D

NAIC_MEMBER_PREM				△
	COLUMN NAME	CONDENSED TYPE	NULLABLE	
⌘	nmp_id	int	NOT NULL	
	nl_id	int	NOT NULL	
	nmp_premium_year	int	NOT NULL	
	nmp_sf_code	char(3)	NOT NULL	
	nmp_naic_code	char(5)	NOT NULL	
	nmp_loi_number	varchar(6)	NOT NULL	
	nmp_premium	money	NOT NULL	
	nmp_dividend	money	NOT NULL	
	nmp_unpaid_losses	money	NOT NULL	
	nmp_loaded_ind	char(1)	NULL	
	nmp_status	varchar(8)	NULL	
	nmp_mod_user	varchar(8)	NULL	
	nmp_mod_date	datetime	NULL	
	nmp_add_user	varchar(8)	NULL	
	nmp_add_date	datetime	NULL	
◀				▶

4110

FIG. 58C

TO 4104
FIG. 58A

FROM 4110
FIG. 58C

4112

NAIC_DEMOGRAPHICS				△
	COLUMN NAME	CONDENSED TYPE	NULLABLE	
🔑	nd_id	int	NOT NULL	
	nl_id	int	NOT NULL	
	nd_premium_year	int	NOT NULL	
	nd_naic_code	char(5)	NOT NULL	
	nd_company_name	varchar(36)	NULL	
	nd_filing_type	char(1)	NULL	
	nd_company_type	char(1)	NULL	
	nd_fein	char(11)	NULL	
	nd_state_domicile	char(2)	NULL	
	nd_address	varchar(40)	NULL	
	nd_city	varchar(30)	NULL	
	nd_state	char(2)	NULL	
	nd_zip	varchar(10)	NULL	
	nd_contact_person	varchar(30)	NULL	
	nd_contact_phone	varchar(19)	NULL	
	nd_officer_name	varchar(30)	NULL	
	nd_comm_bus_date	varchar(10)	NULL	
	nd_group_code	char(5)	NULL	
	nd_group_name	varchar(50)	NULL	
	nd_company_status	char(1)	NULL	
	nd_canadian	char(1)	NULL	
	nd_loaded_ind	char(1)	NULL	
	nd_status	varchar(8)	NULL	
	nd_mod_user	varchar(8)	NULL	
	nd_mod_date	datetime	NULL	
	nd_add_user	varchar(8)	NULL	
	nd_add_date	datetime	NULL	
	nd_country	varchar(25)	NULL	
◀				▶

FIG. 58D

102271-22250660

114/116

2500

Premium Summary									
State Fund: ME		Year: 1998	Insolvency Date: 1/5/1995	Member Fund: Find		Status: Calculated			
<div> <div>Premium Subtotals</div> <div>Member Ratios</div> </div>									
Member	NAIC#	ALL	Auto	Other	Workers' Compensation	Total	(Non-Ar)		
RELIANCE REINSURANCE	10019		0	0	0	0	0		
AGWAY INSURANCE CO	10022		508,353	851,640	0	1,359,993			
AMERICAN BANKERS INS	10111		105,394	528,985	0	634,379			
AMERICAN LIVE STOCK IN	10200		0	3,208	0	3,208			
WHITE MOUNTAINS INSU	10205		25,535	79,436	8,132	113,103			
AMERICAN REINSURANCE	10227		0	0	0	0			
NATIONAL CONTINENTAL	10243		0	0	0	0			
NOBEL INSURANCE CO	10340		30,885	125,306	0	156,191			
AVEMCO INSURANCE CO	10367		0	427,257	0	427,257			
CANAL INSURANCE COMP	10464		2,856,727	90,389	0	2,947,116			
CHRYSLER INSURANCE C	10499		118,867	80	0	118,947			
CAROLINA CASUALTY INS	10510		493,351	36,940	0	530,291			
PROSELECT INSURANCE	10638		0	0	0	0			
CHURCH INSURANCE CO	10669		0	25,213	0	25,213			
CINCINNATI INSURANCE	10677		3,275	50,003	0	53,278			
NATIONWIDE INSURANCE	10723		0	0	0	0			

2504

2502

Premium Detail...

Add Premium...

Delete Premium

Insolvency Dates...

Calculate State Law

Close

FIG. 59

FIG. 60

2700

Member Insurance Account

NAIC#: 19550

State Fund Code: NA

Insurance Account: Other

Withdrawal Date: 03/09/1989

Reinstate Date: / /

5-Year Average: 45,865

Save Cancel

2708b

2708a

2702

2704

2706

FIG. 61